

# ACGME Program Requirements for Graduate Medical Education in Colon and Rectal Surgery

## Common Program Requirements are in BOLD

Effective: July 1, 2011

### Introduction

- Int.A. Colon and rectal surgery is the specialty that focuses on the medical, surgical, endoscopic and perioperative management of disorders involving the colon, rectum and anus, and related problems of the abdomen, pelvis and perineum.
- Int.B. The educational program in colon and rectal surgery must be 12 months in length.

## I Institutions

### I.A. Sponsoring Institution

**One sponsoring institution must assume ultimate responsibility for the program, as described in the Institutional Requirements, and this responsibility extends to resident assignments at all participating sites.**

**The sponsoring institution and the program must ensure that the program director has sufficient protected time and financial support for his or her educational and administrative responsibilities to the program.**

- I.A.1. The sponsoring institution must provide at least 10% time protected to the program director for administrative, non-teaching duties related to the program.
- I.A.2. Salary support for the program director's administrative time must be provided by the sponsoring institution, foundation or practice, depending on the institutional setting.
- I.A.3. The program director must not be required to generate clinical or other income to provide this administrative support.

### I.B. Participating Sites

- I.B.1. **There must be a program letter of agreement (PLA) between the program and each participating site providing a required assignment. The PLA must be renewed at least every five years.**

**The PLA should:**

- I.B.1.a) **identify the faculty who will assume both educational and supervisory responsibilities for residents;**

- I.B.1.b) **specify their responsibilities for teaching, supervision, and formal evaluation of residents, as specified later in this document;**
- I.B.1.c) **specify the duration and content of the educational experience; and,**
- I.B.1.d) **state the policies and procedures that will govern resident education during the assignment.**
- I.B.2. **The program director must submit any additions or deletions of participating sites routinely providing an educational experience, required for all residents, of one month full time equivalent (FTE) or more through the Accreditation Council for Graduate Medical Education (ACGME) Accreditation Data System (ADS).**

## **II Program Personnel and Resources**

### **II.A. Program Director**

- II.A.1. **There must be a single program director with authority and accountability for the operation of the program. The sponsoring institution's GMEC must approve a change in program director. After approval, the program director must submit this change to the ACGME via the ADS.**
- II.A.2. **The program director should continue in his or her position for a length of time adequate to maintain continuity of leadership and program stability.**
- II.A.3. **Qualifications of the program director must include:**
  - II.A.3.a) **requisite specialty expertise and documented educational and administrative experience acceptable to the Review Committee;**
  - II.A.3.b) **current certification in the specialty by the American Board of Colon and Rectal Surgery (ABCRS), or specialty qualifications that are acceptable to the Review Committee; and,**
  - II.A.3.c) **current medical licensure and appropriate medical staff appointment;**
    - II.A.3.c).(1) **This must include membership on the medical staff of either the sponsoring institution or a participating site.**
  - II.A.3.d) **at least three years of clinical practice in colon and rectal surgery; and,**

- II.A.3.e) at least three years of prior experience as a faculty member in either an ACGME-accredited general surgery or colon and rectal surgery program.
- II.A.4. The program director must administer and maintain an educational environment conducive to educating the residents in each of the ACGME competency areas. The program director must:**
- II.A.4.a) oversee and ensure the quality of didactic and clinical education in all sites that participate in the program;**
- II.A.4.b) approve a local director at each participating site who is accountable for resident education;**
- II.A.4.c) approve the selection of program faculty as appropriate;**
- II.A.4.d) evaluate program faculty and approve the continued participation of program faculty based on evaluation;**
- II.A.4.e) monitor resident supervision at all participating sites;**
- II.A.4.f) prepare and submit all information required and requested by the ACGME, including but not limited to the program information forms and annual program resident updates to the ADS, and ensure that the information submitted is accurate and complete;**
- II.A.4.g) provide each resident with documented semiannual evaluation of performance with feedback;**
- II.A.4.h) ensure compliance with grievance and due process procedures as set forth in the Institutional Requirements and implemented by the sponsoring institution;**
- II.A.4.i) provide verification of residency education for all residents, including those who leave the program prior to completion;**
- II.A.4.j) implement policies and procedures consistent with the institutional and program requirements for resident duty hours and the working environment, including moonlighting, and, to that end, must:**
- II.A.4.j).(1) distribute these policies and procedures to the residents and faculty;**
- II.A.4.j).(2) monitor resident duty hours, according to sponsoring institutional policies, with a frequency sufficient to ensure compliance with ACGME requirements;**
- II.A.4.j).(3) adjust schedules as necessary to mitigate excessive service demands and/or fatigue; and,**

- II.A.4.j).(4)** if applicable, monitor the demands of at-home call and adjust schedules as necessary to mitigate excessive service demands and/or fatigue.
- II.A.4.k)** monitor the need for and ensure the provision of back up support systems when patient care responsibilities are unusually difficult or prolonged;
- II.A.4.l)** comply with the sponsoring institution's written policies and procedures, including those specified in the Institutional Requirements, for selection, evaluation and promotion of residents, disciplinary action, and supervision of residents;
- II.A.4.m)** be familiar with and comply with ACGME and Review Committee policies and procedures as outlined in the ACGME Manual of Policies and Procedures;
- II.A.4.n)** obtain review and approval of the sponsoring institution's GMC/DIO before submitting to the ACGME information or requests for the following:
- II.A.4.n).(1)** all applications for ACGME accreditation of new programs;
- II.A.4.n).(2)** changes in resident complement;
- II.A.4.n).(3)** major changes in program structure or length of training;
- II.A.4.n).(4)** progress reports requested by the Review Committee;
- II.A.4.n).(5)** responses to all proposed adverse actions;
- II.A.4.n).(6)** requests for increases or any change to resident duty hours;
- II.A.4.n).(7)** voluntary withdrawals of ACGME-accredited programs;
- II.A.4.n).(8)** requests for appeal of an adverse action;
- II.A.4.n).(9)** appeal presentations to a Board of Appeal or the ACGME; and,
- II.A.4.n).(10)** proposals to ACGME for approval of innovative educational approaches.
- II.A.4.o)** obtain DIO review and co-signature on all program information forms, as well as any correspondence or document submitted to the ACGME that addresses:

- II.A.4.o).(1) **program citations, and/or**
- II.A.4.o).(2) **request for changes in the program that would have significant impact, including financial, on the program or institution.**
- II.A.4.p) implement a policy that clearly defines the lines of authority between the program residents, other learners, the program faculty, other faculty, and the administration. This policy must be distributed to all residents, learners, and faculty members;
- II.A.4.q) ensure that a current, well-organized, written plan for rotation of residents among the various services and participating sites involved is maintained, is available to the residents and faculty, and is reviewed and updated at least annually;
- II.A.4.r) monitor resident stress, including mental or emotional conditions inhibiting performance or learning, and drug- or alcohol-related dysfunction; and,
- II.A.4.r).(1) Both the program director and the faculty should be sensitive to the need for timely provision of confidential counseling and psychological support services to residents.
- II.A.4.r).(2) Situations that demand excessive services or that consistently produce undesirable stress on residents must be evaluated and modified.
- II.A.4.s) dedicate at least 10% of his or her time to directing the program, in addition to teaching.

**II.B. Faculty**

**II.B.1. At each participating site, there must be a sufficient number of faculty with documented qualifications to instruct and supervise all residents at that location.**

**The faculty must:**

- II.B.1.a) **devote sufficient time to the educational program to fulfill their supervisory and teaching responsibilities; and to demonstrate a strong interest in the education of residents, and**
- II.B.1.b) **administer and maintain an educational environment conducive to educating residents in each of the ACGME competency areas.**

- II.B.1.b).(1) The physician faculty must maintain professional standards of clinical excellence and ethical behavior.
- II.B.2. **The physician faculty must have current certification in the specialty by the American Board of Colon and Rectal Surgery, or possess qualifications acceptable to the Review Committee.**
- II.B.3. **The physician faculty must possess current medical licensure and appropriate medical staff appointment.**
- II.B.4. **The nonphysician faculty must have appropriate qualifications in their field and hold appropriate institutional appointments.**
- II.B.5. **The faculty must establish and maintain an environment of inquiry and scholarship with an active research component.**
- II.B.5.a) **The faculty must regularly participate in organized clinical discussions, rounds, journal clubs, and conferences.**
- II.B.5.b) **Some members of the faculty should also demonstrate scholarship by one or more of the following:**
  - II.B.5.b).(1) **peer-reviewed funding;**
  - II.B.5.b).(2) **publication of original research or review articles in peer-reviewed journals, or chapters in textbooks;**
  - II.B.5.b).(3) **publication or presentation of case reports or clinical series at local, regional, or national professional and scientific society meetings; or,**
  - II.B.5.b).(4) **participation in national committees or educational organizations.**
- II.B.5.c) **Faculty should encourage and support residents in scholarly activities.**
- II.B.5.d) At least one faculty member must be actively involved in regional or national specialty societies.
- II.B.5.e) At least one faculty member must be regularly active in scholarly inquiry. Research performed by a resident must not substitute for active faculty involvement.
- II.B.6. There must be a minimum of three FTE ABCRS-certified faculty members active in the program, including the program director.

**II.C. Other Program Personnel**

**The institution and the program must jointly ensure the availability of all necessary professional, technical, and clerical personnel for the effective**

## **administration of the program.**

- II.C.1. The program must have a program coordinator with at least 10% of his or her time dedicated to the program. Programs with more than one resident per year should provide an additional 5% of program coordinator time per additional resident. Therefore, if there is one resident per year, at least 10% of the program coordinator's time must be dedicated to the program. If there are two residents per year, at least 15% of the program coordinator's time must be dedicated to the program. If there are three residents per year, at least 20% of the program coordinator's time must be dedicated to the program. If there are four residents per year, at least 25% of the program coordinator's time must be dedicated to the program. If there are five residents per year, at least 30% of the program coordinator's time must be dedicated to the program.

## **II.D. Resources**

**The institution and the program must jointly ensure the availability of adequate resources for resident education, as defined in the specialty program requirements.**

- II.D.1. The program must provide the volume and variety of colon and rectal patients and surgery necessary for residents to perform the required minimum case numbers and achieve all required outcomes.
- II.D.2. Residents must be provided with office workspace with computer hardware, software, support, Internet access, reference assistance, and statistical support.
- II.D.3. Residents must be provided with reliable systems for prompt communication with supervising faculty.

## **II.E. Medical Information Access**

**Residents must have ready access to specialty-specific and other appropriate reference material in print or electronic format. Electronic medical literature databases with search capabilities should be available.**

- II.E.1. The major online, full-text journals relevant to the specialty for education and patient care must be conveniently available to residents at all participating sites.

## **III Resident Appointments**

### **III.A. Eligibility Criteria**

**The program director must comply with the criteria for resident eligibility as specified in the Institutional Requirements.**

- III.A.1. Prior to appointment in the program, residents should have demonstrated a satisfactory level of clinical maturity, technical skills, and surgical

judgment which will enable them to begin a residency in colon and rectal surgery for the purpose of specializing in this field of surgery.

III.A.2. Prior to appointment in the program, residents must:

III.A.2.a) have successfully completed an ACGME- or Royal College of Physicians and Surgeons of Canada (RCPSC)-accredited residency program in surgery of not less than five years of progressive education; and

III.A.2.b) be certified by the American Board of Surgery (ABS) or have completed the educational requirements to sit for the ABS qualifying examinations.

### **III.B. Number of Residents**

**The program director may not appoint more residents than approved by the Review Committee, unless otherwise stated in the specialty-specific requirements. The program's educational resources must be adequate to support the number of residents appointed to the program.**

### **III.C. Resident Transfers**

III.C.1. **Before accepting a resident who is transferring from another program, the program director must obtain written or electronic verification of previous educational experiences and a summative competency-based performance evaluation of the transferring resident.**

III.C.2. **A program director must provide timely verification of residency education and summative performance evaluations for residents who leave the program prior to completion.**

### **III.D. Appointment of Fellows and Other Learners**

**The presence of other learners (including, but not limited to, residents from other specialties, subspecialty fellows, PhD students, and nurse practitioners) in the program must not interfere with the appointed residents' education. The program director must report the presence of other learners to the DIO and GMEC in accordance with sponsoring institution guidelines.**

## **IV Educational Program**

IV.A. **The curriculum must contain the following educational components:**

IV.A.1. **Overall educational goals for the program, which the program must distribute to residents and faculty annually.**

IV.A.1.a) A comprehensive written curriculum covering all defined components of colon and rectal surgery must be used by the

program as a guide for resident education.

**IV.A.2. Competency-based goals and objectives for each assignment at each educational level, which the program must distribute to residents and faculty annually, in either written or electronic form. These should be reviewed by the resident at the start of each rotation.**

IV.A.2.a) Each resident and the faculty must jointly review the goals and objectives at the beginning of each rotation.

IV.A.2.b) The rotation goals and objectives must also be reviewed at the end of the rotation to assess the degree to which they were attained as part of the evaluation of the resident, the teaching faculty, the rotation, and the program.

**IV.A.3. Regularly scheduled didactic sessions.**

IV.A.3.a) There must be a structured, program-long series of didactic sessions with the faculty that follows the written curriculum on at least a weekly basis.

IV.A.3.b) Regular colon and rectal conferences must be coordinated among program sites to allow attendance by a majority of faculty members and residents.

IV.A.3.b).(1) A conference attendance record for both residents and faculty members must be maintained.

IV.A.3.b).(2) Residents must attend a minimum of 70% of all conferences, excluding excused time away for meetings, vacation and illness.

IV.A.3.c) Regular conferences must include:

IV.A.3.c).(1) morbidity and mortality conferences, held at least monthly, at which all complications occurring on the colon and rectal service(s) are presented for peer-review and follow-up; and,

IV.A.3.c).(1).(a) Cases must be presented by the colon and rectal surgery resident(s). The involved faculty members must be present, and other colon and rectal surgery faculty members should participate.

IV.A.3.c).(2) a journal club conference, held at least quarterly, during which important articles from the current and past literature are presented by the resident(s) and any other learners on the service, and are discussed for content and study design.

IV.A.3.d) Related pathology and radiology studies should be presented during these conferences.

IV.A.3.e) Formal clinical teaching rounds with the responsible faculty must be conducted on each rotation on at least a weekly basis.

**IV.A.4. Delineation of resident responsibilities for patient care, progressive responsibility for patient management, and supervision of residents over the continuum of the program; and,**

**IV.A.5. ACGME Competencies**

**The program must integrate the following ACGME competencies into the curriculum:**

**IV.A.5.a) Patient Care**

**Residents must be able to provide patient care that is compassionate, appropriate, and effective for the treatment of health problems and the promotion of health. Residents:**

IV.A.5.a).(1) must demonstrate proficiency in the evaluation and management of patients with all of the essential colon and rectal surgical disorders.

IV.A.5.a).(1).(a) Proficiency in evaluation and management must include:

IV.A.5.a).(1).(a).(i) pre-operative diagnosis, indications, alternatives, risks and preparation;

IV.A.5.a).(1).(a).(ii) assessment of patient risk, nutritional status, co-morbidities, and need for pre-operative treatment and peri-operative prophylaxis;

IV.A.5.a).(1).(a).(iii) appropriate non-operative management;

IV.A.5.a).(1).(a).(iv) operative management, including all technical aspects, intra-operative decision-making, avoidance and management of intra-operative complications, and management of unexpected findings; and,

IV.A.5.a).(1).(a).(v) post-operative management, including recognition and treatment of complications; and, appropriate follow-up and additional treatment.

IV.A.5.a).(1).(b) The essential colon and rectal surgery disorders must include:

IV.A.5.a).(1).(b).(i)	abdominal and pelvic disorders, including:
IV.A.5.a).(1).(b).(i).(a)	carcinoma of the colon, rectum, and anus;
IV.A.5.a).(1).(b).(i).(b)	colorectal infectious diseases, including sexually transmitted diseases (STDs) and other colidities, including clostridium difficile and HIV-related infection;
IV.A.5.a).(1).(b).(i).(c)	diverticular disease;
IV.A.5.a).(1).(b).(i).(d)	gastrointestinal obstruction, including those due to adhesions, malignancy, volvulus, hernias and pseudo obstruction;
IV.A.5.a).(1).(b).(i).(e)	inflammatory bowel disease, including Crohn's disease and ulcerative colitis;
IV.A.5.a).(1).(b).(i).(f)	inherited colorectal disorders, including familial polyposis, hereditary cancer syndromes; other inherited polyposis syndromes and related genetic disorders;
IV.A.5.a).(1).(b).(i).(g)	lower gastrointestinal hemorrhage;
IV.A.5.a).(1).(b).(i).(h)	other neoplastic processes, including GIST tumors, lymphoma, carcinoid, desmoids, small bowel and mesenteric tumors; and,
IV.A.5.a).(1).(b).(i).(i)	radiation enteritis and the effects of ionizing radiation.
IV.A.5.a).(1).(b).(ii)	anorectal and perineal disorders, including:
IV.A.5.a).(1).(b).(ii).(a)	anal fissure;
IV.A.5.a).(1).(b).(ii).(b)	anorectal stenosis;
IV.A.5.a).(1).(b).(ii).(c)	fistulas, anorectal and rectovaginal;
IV.A.5.a).(1).(b).(ii).(d)	hemorrhoids;
IV.A.5.a).(1).(b).(ii).(e)	hidradenitis;

IV.A.5.a).(1).(b).(ii).(f)	meningocele, chordoma, and teratoma;
IV.A.5.a).(1).(b).(ii).(g)	necrotizing fasciitis;
IV.A.5.a).(1).(b).(ii).(h)	pilonidal disease;
IV.A.5.a).(1).(b).(ii).(i)	presacral/retrorectal lesions including cysts; and
IV.A.5.a).(1).(b).(ii).(j)	pruritus ani.
IV.A.5.a).(1).(b).(iii)	pelvic floor disorders, including:
IV.A.5.a).(1).(b).(iii).(a)	constipation, including clinical and physiological evaluation, dysmotility, animus and other forms of pelvic outlet obstruction;
IV.A.5.a).(1).(b).(iii).(b)	fecal incontinence; and,
IV.A.5.a).(1).(b).(iii).(c)	rectal and pelvic prolapse, rectocele, and solitary rectal ulcer syndrome.
IV.A.5.a).(2)	must demonstrate a high level of skill and dexterity in the performance of all essential colon and rectal surgical procedures. The essential procedures include:
IV.A.5.a).(2).(a)	abdominal procedures, including:
IV.A.5.a).(2).(a).(i)	abdominoperineal resection and total proctocolectomy;
IV.A.5.a).(2).(a).(ii)	creation of stomas and surgical management of stoma complications;
IV.A.5.a).(2).(a).(iii)	ileal pouch-anal anastomosis;
IV.A.5.a).(2).(a).(iv)	laparoscopic abdominal and gastrointestinal surgery, including colon and rectal resections, ostomy construction and prolapse repair;
IV.A.5.a).(2).(a).(v)	low anterior resection with colorectal and coloanal anastomosis;
IV.A.5.a).(2).(a).(vi)	procedures for rectal prolapse;
IV.A.5.a).(2).(a).(vii)	segmental colectomy, including ileocolic resection and colon resection;

- IV.A.5.a).(2).(a).(viii) small bowel resection; and,
- IV.A.5.a).(2).(a).(ix) stricturoplasty.
- IV.A.5.a).(2).(b) anorectal and perineal procedures, including:
  - IV.A.5.a).(2).(b).(i) anooplasty;
  - IV.A.5.a).(2).(b).(ii) fistulotomies, including primary and staged advancement flap repairs of complex anorectal and rectovaginal fistulas;
  - IV.A.5.a).(2).(b).(iii) hemorrhoidectomy, including operative and office treatment;
  - IV.A.5.a).(2).(b).(iv) internal sphincterotomy;
  - IV.A.5.a).(2).(b).(v) perineal repairs of rectal prolapse;
  - IV.A.5.a).(2).(b).(vi) transanal excision of rectal neoplasms;
  - IV.A.5.a).(2).(b).(vii) treatment of hidradenitis; and,
  - IV.A.5.a).(2).(b).(viii) treatment of pilonidal disease.
- IV.A.5.a).(2).(c) endoscopic procedures, including:
  - IV.A.5.a).(2).(c).(i) anoscopy;
  - IV.A.5.a).(2).(c).(ii) colonoscopy, including diagnostic and therapeutic; and,
  - IV.A.5.a).(2).(c).(iii) sigmoidoscopy, including rigid and flexible.
- IV.A.5.a).(2).(d) administration of conscious sedation and local analgesia; and,
- IV.A.5.a).(2).(e) pelvic floor procedures, including interpretation of clinical and laboratory study results to include anorectal manometry, anorectal ultrasound/pelvic magnetic resonance imaging (MRI), defecography, and transit time studies.

**IV.A.5.b)**

**Medical Knowledge**

**Residents must demonstrate knowledge of established and evolving biomedical, clinical, epidemiological and social-behavioral sciences, as well as the application of this knowledge to patient care. Residents:**

- IV.A.5.b).(1) must demonstrate expertise in their knowledge of the anatomy, embryology and physiology of the colon, rectum, anus, and related structures;
- IV.A.5.b).(2) must demonstrate competence in their knowledge of the essential colorectal disorders;
- IV.A.5.b).(3) must demonstrate substantial familiarity with additional colon and rectal surgery-related issues, including:
- IV.A.5.b).(3).(a) congenital disorders, including congenital pelvic and sacral neoplasms; Hirschsprung's disease; imperforate anus; and urogenital and sacral dysgenesis, including spina bifida;
- IV.A.5.b).(3).(b) genetics and molecular biology as they apply to colorectal disorders;
- IV.A.5.b).(3).(c) gynecological disorders, including endometriosis, considerations in managing the pregnant patient with colorectal disorders, and related intra-operative findings such as ovarian lesions, fibroids, endometrial implants, and gynecological prolapse;
- IV.A.5.b).(3).(d) other pediatric and congenital disorders, including childhood fissure, encopresis, juvenile polyposis, malrotation, Meckel's diverticulum, and prolapse;
- IV.A.5.b).(3).(e) other pelvic disorders, including cystocele, enterocele, urinary incontinence, and vaginal and uterine prolapse;
- IV.A.5.b).(3).(f) the pathology of colon and rectal disorders;
- IV.A.5.b).(3).(g) radiological and other imaging modalities, including plain x-rays, contrast studies, computed tomography (CT), positron emission tomography (PET), CT colonography magnetic resonance imaging, nuclear medicine scans, angiography, defecography, abdominal ultrasound, evaluation for deep vein thrombosis and pulmonary embolism, fistulograms, and sinograms;
- IV.A.5.b).(3).(h) related medical conditions;
- IV.A.5.b).(3).(i) urological disorders, including urinary incontinence, fistulas to the urinary tract, involvement of the ureters, bladder and urethra in CRD, and identifying and avoiding intraoperative injury to the ureters; and,

- IV.A.5.b).(3).(j) vascular and mesenteric disorders affecting the colon and rectum.
- IV.A.5.b).(4) must demonstrate substantial familiarity with additional colon and rectal surgery-related procedures, including:
  - IV.A.5.b).(4).(a) abdominal procedures, including continent ileostomy and pelvic exenteration;
  - IV.A.5.b).(4).(b) alternate pelvic pouch techniques, including colonic J-pouch and coloplasty;
  - IV.A.5.b).(4).(c) anastomotic techniques, including both sewn and stapled methods of colonic and anal anastomoses;
  - IV.A.5.b).(4).(d) anorectal procedures, including alternative methods of fistula repair, including fibrin glue and/or plug placement;
  - IV.A.5.b).(4).(e) flaps and grafts for perineal reconstruction;
  - IV.A.5.b).(4).(f) indications for and interpretation of CT colonography;
  - IV.A.5.b).(4).(g) management of colorectal trauma and foreign bodies;
  - IV.A.5.b).(4).(h) other procedures for fecal incontinence, including alternative methods of sphincter repair, augmentation and implantable devices;
  - IV.A.5.b).(4).(i) pelvic floor and gastrointestinal physiological assessment and procedures, their uses, and indications, including performance and interpretation of anorectal manometry, electromyography and pudendal nerve testing, defecography/dynamic MRI, transit time assessment, pelvic floor exercise, rehabilitation, and directed biofeedback;
  - IV.A.5.b).(4).(j) procedures for pelvic prolapse in addition to rectal prolapsed, including rectocele and enterocele repairs; and,
  - IV.A.5.b).(4).(k) transanal endoscopic microsurgery.

#### **IV.A.5.c)**

#### **Practice-based Learning and Improvement**

**Residents must demonstrate the ability to investigate and evaluate their care of patients, to appraise and assimilate scientific evidence, and to continuously improve patient care**

**based on constant self-evaluation and life-long learning. Residents are expected to develop skills and habits to be able to meet the following goals:**

- IV.A.5.c).(1) identify strengths, deficiencies, and limits in one's knowledge and expertise;**
- IV.A.5.c).(2) set learning and improvement goals;**
- IV.A.5.c).(3) identify and perform appropriate learning activities;**
- IV.A.5.c).(4) systematically analyze practice using quality improvement methods, and implement changes with the goal of practice improvement;**
- IV.A.5.c).(5) incorporate formative evaluation feedback into daily practice;**
- IV.A.5.c).(6) locate, appraise, and assimilate evidence from scientific studies related to their patients' health problems;**
- IV.A.5.c).(7) use information technology to optimize learning;**
- IV.A.5.c).(8) participate in the education of patients, families, students, residents and other health professionals;**
- IV.A.5.c).(9) evaluate and analyze patient care outcomes; and,**
- IV.A.5.c).(10) utilize an evidence-based approach to patient care.**

**IV.A.5.d)**

**Interpersonal and Communication Skills**

**Residents must demonstrate interpersonal and communication skills that result in the effective exchange of information and collaboration with patients, their families, and health professionals. Residents are expected to:**

- IV.A.5.d).(1) communicate effectively with patients, families, and the public, as appropriate, across a broad range of socioeconomic and cultural backgrounds;**
- IV.A.5.d).(2) communicate effectively with physicians, other health professionals, and health related agencies;**
- IV.A.5.d).(3) work effectively as a member or leader of a health care team or other professional group;**
- IV.A.5.d).(4) act in a consultative role to other physicians and health professionals; and,**

**IV.A.5.d).(5)** maintain comprehensive, timely, and legible medical records, if applicable.

**IV.A.5.e) Professionalism**

**Residents must demonstrate a commitment to carrying out professional responsibilities and an adherence to ethical principles. Residents are expected to demonstrate:**

**IV.A.5.e).(1)** compassion, integrity, and respect for others;

**IV.A.5.e).(2)** responsiveness to patient needs that supersedes self-interest;

**IV.A.5.e).(3)** respect for patient privacy and autonomy;

**IV.A.5.e).(4)** accountability to patients, society and the profession;

**IV.A.5.e).(5)** sensitivity and responsiveness to a diverse patient population, including but not limited to diversity in gender, age, culture, race, religion, disabilities, and sexual orientation;

**IV.A.5.e).(6)** a high standard of ethical behavior; and,

**IV.A.5.e).(7)** a commitment to continuity of care.

**IV.A.5.f) Systems-based Practice**

**Residents must demonstrate an awareness of and responsiveness to the larger context and system of health care, as well as the ability to call effectively on other resources in the system to provide optimal health care. Residents are expected to:**

**IV.A.5.f).(1)** work effectively in various health care delivery settings and systems relevant to their clinical specialty;

**IV.A.5.f).(2)** coordinate patient care within the health care system relevant to their clinical specialty;

**IV.A.5.f).(3)** incorporate considerations of cost awareness and risk-benefit analysis in patient and/or population-based care as appropriate;

**IV.A.5.f).(4)** advocate for quality patient care and optimal patient care systems;

**IV.A.5.f).(5)** work in interprofessional teams to enhance patient safety and improve patient care quality; and,

**IV.A.5.f).(6) participate in identifying system errors and implementing potential systems solutions.**

IV.A.6. Curriculum Organization and Resident Experiences

IV.A.6.a) The program must be organized so that residents participate in patient evaluation and care in each of the following settings:

IV.A.6.a).(1) ambulatory clinic/office;

IV.A.6.a).(2) emergency department;

IV.A.6.a).(3) endoscopy suite/center;

IV.A.6.a).(4) inpatient care/hospital; and,

IV.A.6.a).(5) operating theater, including in-patient and ambulatory.

IV.A.6.b) Residents must be exposed to basic and complex patients with the following conditions:

IV.A.6.b).(1) the broad spectrum of anorectal disease;

IV.A.6.b).(2) colon, rectal and anal cancer;

IV.A.6.b).(3) colorectal physiological disorders, including fecal incontinence, constipation, rectal and pelvic prolapse and intestinal dysmotility;

IV.A.6.b).(4) diverticular disease;

IV.A.6.b).(5) inflammatory bowel disease, including ulcerative colitis; and,

IV.A.6.b).(6) relevant genetic disorders, including familial adenomatous polyposis (FAP) and hereditary non-polyposis colorectal cancer (HNPCC).

IV.A.6.c) Residents must have a broad operative experience, including:

IV.A.6.c).(1) abdominal/pelvic, both open and laparoscopic;

IV.A.6.c).(2) anorectal; and,

IV.A.6.c).(3) endoscopic, including rigid proctoscopy, flexible sigmoidoscopy and colonoscopy.

IV.A.6.d) Residents must have exposure to testing methods, including:

IV.A.6.d).(1) anorectal manometry;

- IV.A.6.d).(2) defecography/dynamic MRI;
- IV.A.6.d).(3) electromyography and pudendal nerve testing;
- IV.A.6.d).(4) pelvic floor exercise, rehabilitation, and directed biofeedback; and,
- IV.A.6.d).(5) transit time assessment.
- IV.A.6.e) Residents must have formal instruction and clinical experiences in all essential disorders and procedures.
- IV.A.6.f) Residents must participate in the evaluation and treatment of patients with the following diagnoses:
  - IV.A.6.f).(1) anorectal and physiologic disorders, including hemorrhoids, fistulas, abscesses, fissures, constipation, incontinence and pelvic floor problems (at least 110 patients); and,
  - IV.A.6.f).(2) abdominal disorders, including neoplasia of the colon, rectum and anus, inflammatory bowel disease, diverticular disease, and rectal prolapse (at least 215 patients).
- IV.A.6.g) Residents must document the following minimum overall case numbers, to include no more than 50% endoscopic procedures:
  - IV.A.6.g).(1) 120 abdominal operations, including:
    - IV.A.6.g).(1).(a) 30 laparoscopic resections; and,
    - IV.A.6.g).(1).(b) 30 pelvic dissections.
  - IV.A.6.g).(2) 60 anorectal operations; and,
  - IV.A.6.g).(3) 185 procedures evaluating the gastrointestinal tract and pelvic floor, including sigmoidoscopy/proctoscopy, anoscopy, rectal and anal ultrasound, pelvic floor evaluation and colonoscopies (at least 140 total procedures, including 30 interventional procedures).
- IV.A.6.h) A colon and rectal surgery resident and a chief resident in general surgery or a fellow (whether the fellow is in an ACGME-accredited position or not) should not have primary responsibility for the same patient, except that a colon and rectal surgery resident and a critical care fellow may co-manage the non-operative care of the same patient.
- IV.A.6.i) Each colon and rectal surgery resident must continue to provide care for his or her post-operative patients until discharge, or until

the patients' postoperative conditions are stable and only non-surgical issues remain.

#### **IV.B. Residents' Scholarly Activities**

**IV.B.1. The curriculum must advance residents' knowledge of the basic principles of research, including how research is conducted, evaluated, explained to patients, and applied to patient care.**

**IV.B.2. Residents should participate in scholarly activity.**

IV.B.2.a) Each resident should participate in at least two of the following activities:

IV.B.2.a).(1) one or more ongoing research studies with the faculty;

IV.B.2.a).(2) one or more resident-initiated research project with faculty supervision;

IV.B.2.a).(3) one or more scientific presentations at local, regional, national or international meetings;

IV.B.2.a).(4) preparation/submission of one or more articles for peer-reviewed publications; or,

IV.B.2.a).(5) writing one or more book chapters or current standards papers.

**IV.B.3. The sponsoring institution and program should allocate adequate educational resources to facilitate resident involvement in scholarly activities.**

IV.B.3.a) The program should provide support for residents involved in research, including research design, technical support and statistical analysis.

IV.B.4. The program director must document each resident's scholarly activity annually.

#### **V Evaluation**

**V.A. Resident Evaluation**

**V.A.1. Formative Evaluation**

**V.A.1.a) The faculty must evaluate resident performance in a timely manner during each rotation or similar educational assignment, and document this evaluation at completion of the assignment.**

- V.A.1.a).(1) The program director must formally discuss each resident's evaluation with him or her in person and on a quarterly basis.
- V.A.1.a).(1).(a) This discussion must include the resident's performance related to the six competencies, clinical experiences, and duty hours.
- V.A.1.a).(1).(b) This evaluation must be documented, signed by the program director and the resident, and maintained for review by the faculty, resident, institution and site visitor.
- V.A.1.a).(2) The ACGME Case Log System must be used to assess resident experience with both diagnoses and procedures.
- V.A.1.a).(2).(a) The program director must ensure regular and accurate completion of the Case Log System, and that each resident completes his or her case logs in the system in their entirety prior to completing the program.
- V.A.1.a).(2).(b) The program director must review the Case Log results at least quarterly to assess each resident's progress and to ensure completion of each rotation's goals and objectives.
- V.A.1.a).(2).(c) The program director must review case distribution regularly, and if a deficit is identified, specific plans must be made to remedy the problem.
- V.A.1.a).(2).(c).(i) These plans must be documented and shared with each resident and with the faculty.
- V.A.1.a).(2).(c).(ii) Review of these plans must be performed at each resident's next quarterly evaluation to assess results.
- V.A.1.a).(2).(d) The program director must ensure minimum case numbers for each resident and assess resident technical competence.

**V.A.1.b)**

**The program must:**

**V.A.1.b).(1)**

**provide objective assessments of competence in patient care, medical knowledge, practice-based learning and improvement, interpersonal and communication skills, professionalism, and systems-based practice;**

- V.A.1.b).(2) **use multiple evaluators (e.g., faculty, peers, patients, self, and other professional staff);**
- V.A.1.b).(3) **document progressive resident performance improvement appropriate to educational level; and,**
- V.A.1.b).(4) **provide each resident with documented semiannual evaluation of performance with feedback.**
- V.A.1.c) **The evaluations of resident performance must be accessible for review by the resident, in accordance with institutional policy.**
- V.A.1.d) The Colon and Rectal Surgery In-Training Examination (CARSITE) or a similar, specialty-specific examination should be used as one method of resident evaluation.
- V.A.1.d).(1) The results should be reviewed in a debriefing session with each resident in which the program director or delegated faculty member provides feedback regarding identified gaps in knowledge and helps the resident develop strategies to resolve these deficiencies.
- V.A.1.e) The American Board of Surgery In-Service Training Examination (ABSITE) must not be used for specialty-specific evaluation of resident knowledge in colon and rectal surgery.

**V.A.2. Summative Evaluation**

**The program director must provide a summative evaluation for each resident upon completion of the program. This evaluation must become part of the resident's permanent record maintained by the institution, and must be accessible for review by the resident in accordance with institutional policy. This evaluation must:**

- V.A.2.a) **document the resident's performance during the final period of education, and**
- V.A.2.b) **verify that the resident has demonstrated sufficient competence to enter practice without direct supervision.**

**V.B. Faculty Evaluation**

- V.B.1. **At least annually, the program must evaluate faculty performance as it relates to the educational program.**
- V.B.2. **These evaluations should include a review of the faculty's clinical teaching abilities, commitment to the educational program, clinical knowledge, professionalism, and scholarly activities.**

**V.B.3. This evaluation must include at least annual written confidential evaluations by the residents.**

**V.C. Program Evaluation and Improvement**

**V.C.1. The program must document formal, systematic evaluation of the curriculum at least annually. The program must monitor and track each of the following areas:**

**V.C.1.a) resident performance;**

**V.C.1.b) faculty development;**

**V.C.1.c) graduate performance, including performance of program graduates on the certification examination; and,**

**V.C.1.d) program quality. Specifically:**

**V.C.1.d).(1) Residents and faculty must have the opportunity to evaluate the program confidentially and in writing at least annually.**

**V.C.1.d).(2) The program must use the results of residents' assessments of the program together with other program evaluation results to improve the program.**

**V.C.1.d).(3) Within three years of completing their residency education, at least 70% of a program's graduates from the preceding five years must take both the qualifying and certifying examinations for colon and rectal surgery offered by the ABCRS.**

**V.C.1.d).(4) At least 70% of a program's graduates from the preceding five years taking the ABCRS qualifying and certifying examinations for the first time must pass.**

**V.C.2. If deficiencies are found, the program should prepare a written plan of action to document initiatives to improve performance in the areas listed in section V.C.1. The action plan should be reviewed and approved by the teaching faculty and documented in meeting minutes.**

**VI Resident Duty Hours in the Learning and Working Environment**

**VI.A. Principles**

**VI.A.1. The program must be committed to and be responsible for promoting patient safety and resident well-being and to providing a supportive educational environment.**

- VI.A.2.** The learning objectives of the program must not be compromised by excessive reliance on residents to fulfill service obligations.
- VI.A.3.** Didactic and clinical education must have priority in the allotment of residents' time and energy.
- VI.A.4.** Duty hour assignments must recognize that faculty and residents collectively have responsibility for the safety and welfare of patients.
- VI.B.** **Supervision of Residents**
- The program must ensure that qualified faculty provide appropriate supervision of residents in patient care activities.
- VI.C.** **Fatigue**
- Faculty and residents must be educated to recognize the signs of fatigue and sleep deprivation and must adopt and apply policies to prevent and counteract its potential negative effects on patient care and learning.
- VI.D.** **Duty Hours (the terms in this section are defined in the ACGME Glossary and apply to all programs)**
- Duty hours are defined as all clinical and academic activities related to the program; i.e., patient care (both inpatient and outpatient), administrative duties relative to patient care, the provision for transfer of patient care, time spent in-house during call activities, and scheduled activities, such as conferences. Duty hours do *not* include reading and preparation time spent away from the duty site.
- VI.D.1.** Duty hours must be limited to 80 hours per week, averaged over a four-week period, inclusive of all in-house call activities.
- VI.D.2.** Residents must be provided with one day in seven free from all educational and clinical responsibilities, averaged over a four-week period, inclusive of call.
- VI.D.3.** Adequate time for rest and personal activities must be provided. This should consist of a 10-hour time period provided between all daily duty periods and after in-house call.
- VI.E.** **On-call Activities**
- VI.E.1.** In-house call must occur no more frequently than every third night, averaged over a four-week period.
- VI.E.2.** Continuous on-site duty, including in-house call, must not exceed 24 consecutive hours. Residents may remain on duty for up to six additional hours to participate in didactic activities, transfer care of patients, conduct outpatient clinics, and maintain continuity of medical and surgical care.

**VI.E.3. No new patients may be accepted after 24 hours of continuous duty.**

VI.E.3.a) A new patient is defined as any patient for whom the service has not previously provided care.

**VI.E.4. At-home call (or pager call)**

VI.E.4.a) The frequency of at-home call is not subject to the every-third-night, or 24+6 limitation. However at-home call must not be so frequent as to preclude rest and reasonable personal time for each resident.

VI.E.4.b) Residents taking at-home call must be provided with one day in seven completely free from all educational and clinical responsibilities, averaged over a four-week period.

VI.E.4.c) When residents are called into the hospital from home, the hours residents spend in-house are counted toward the 80-hour limit.

**VI.F. Moonlighting**

VI.F.1. Moonlighting must not interfere with the ability of the resident to achieve the goals and objectives of the educational program.

VI.F.2. Internal moonlighting must be considered part of the 80-hour weekly limit on duty hours.

VI.F.3. Moonlighting is not permitted during a colon and rectal surgery residency.

**VI.G. Duty Hours Exceptions**

**A Review Committee may grant exceptions for up to 10% or a maximum of 88 hours to individual programs based on a sound educational rationale.**

VI.G.1. In preparing a request for an exception the program director must follow the duty hour exception policy from the ACGME Manual on Policies and Procedures.

VI.G.2. Prior to submitting the request to the Review Committee, the program director must obtain approval of the institution's GMEC and DIO.

**VII Experimentation and Innovation**

**Requests for experimentation or innovative projects that may deviate from the institutional, common and/or specialty specific program requirements must be approved in advance by the Review Committee. In preparing requests, the program director must follow Procedures for Approving Proposals for Experimentation or Innovative Projects located in the ACGME Manual on Policies**

**and Procedures. Once a Review Committee approves a project, the sponsoring institution and program are jointly responsible for the quality of education offered to residents for the duration of such a project.**

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