# Updates on the Next Accreditation System Drs. Johnson, Levin and Ling

# Preventive Medicine April 3, 2014



# Decisions in the Next Accreditation System Clinical Competency Committee Program Evaluation Committee

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## Goals of The "Next Accreditation System"

- To begin the realization of the promise of Outcomes
- To free good programs to innovate
- To assist poor programs to improve
- To reduce the burden of accreditation
- To provide accountability for outcomes (in tandem with ABMS) to the Public

## Where are we going? The Next Accreditation System

- Continuous Accreditation Model
- Review programs every 10 years with self-study

- Leave Good Programs alone
- Good Programs can innovate detailed standards
- Identify weak programs earlier
- Site visit or progress report from weak programs
- Weak programs held to detailed standards

#### Where did we come from?

- 2002 Six Core competencies in PR
- 2012 work done so far
  - Core and Detailed Process
  - Outcome in Requirements
  - New policies and procedures
  - ADS rebuilt to prepare for NAS
  - Annual update: free text replaced by data
  - Scholarly activity replaces CVs
  - 2012 Milestones 1.0 developed



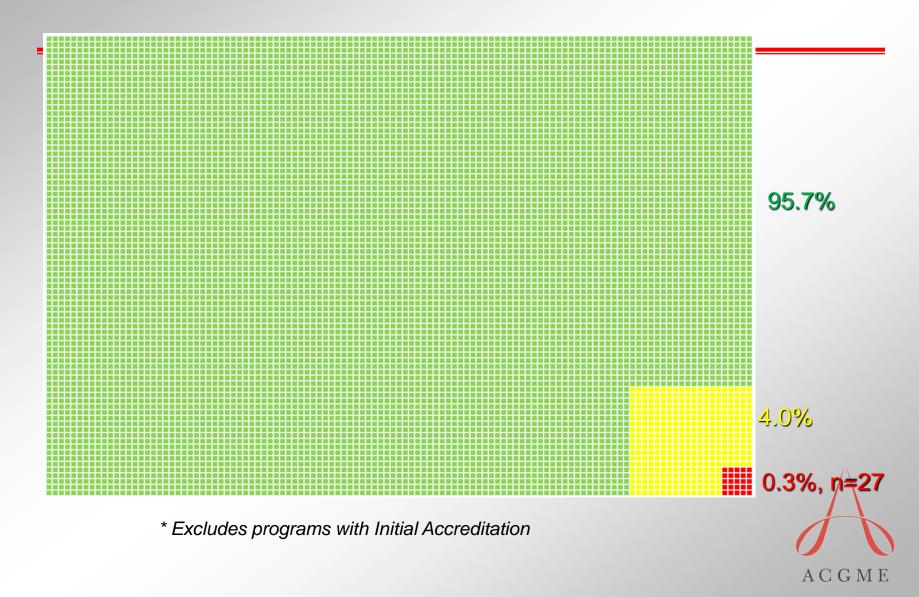
### All 9,022 ACGME Pre-NAS Accredited Residency and Fellowship Programs 2013\*



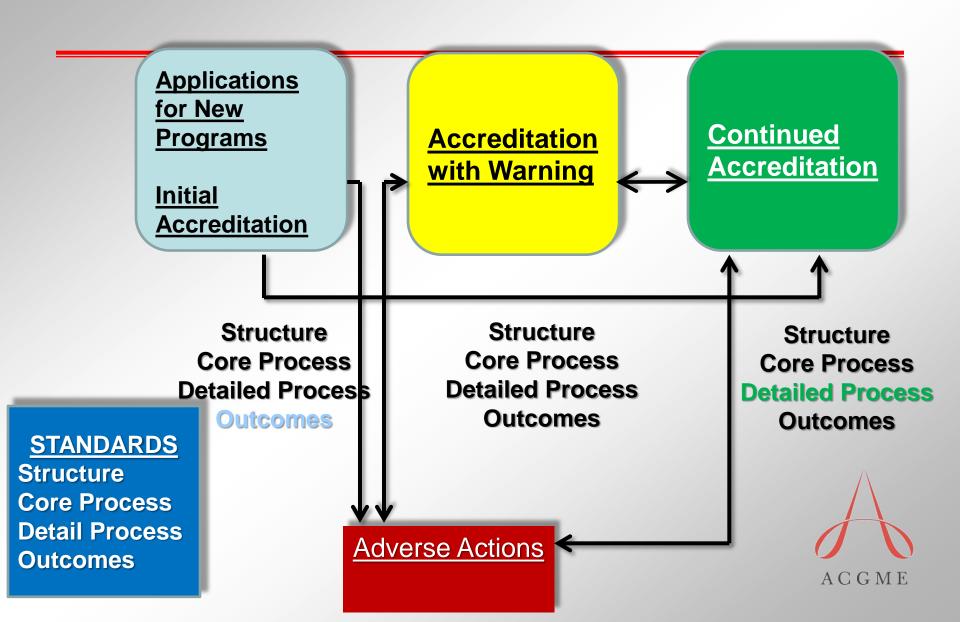
<sup>\*</sup> Excludes programs with Initial Accreditation



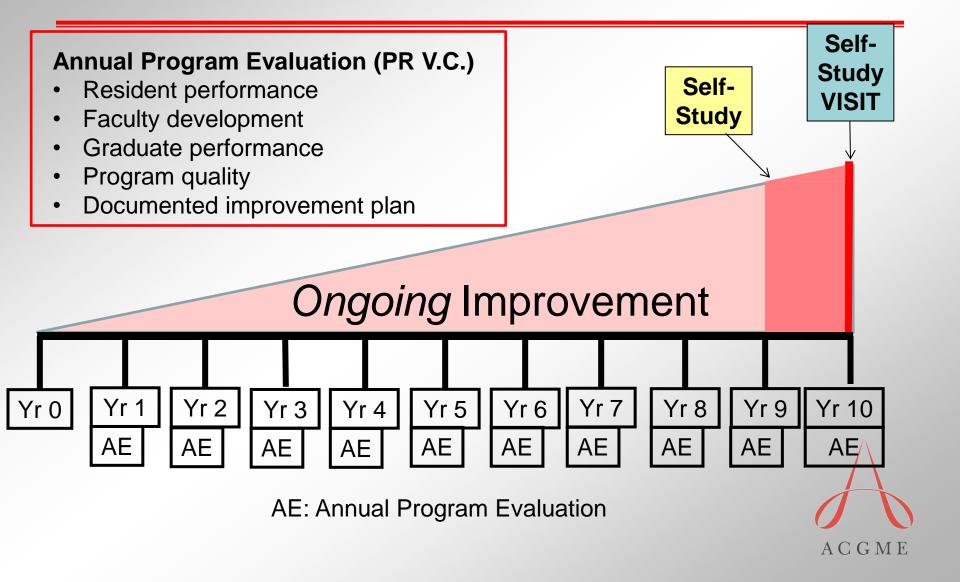
### All 9,022 ACGME Pre-NAS Accredited Residency and Fellowship Programs 2013\*



#### **Accreditation Statuses**



### Ten Year Self-Study Visit



### Work of the RRC

Robert Johnson, MD, MPH, MBA Chair, Preventive Medicine RRC



### The Next Accreditation System

- Screening based on annually submitted data
  - ADS annual update
  - Resident Survey
  - Faculty Survey (new for core faculty)
  - Milestones Data (new, will be phased in)
  - Procedure or Case Logs
  - Boards Pass Rate Data
  - Scholarly Activity (new format replaces CVs)



### The Next Accreditation System

- RRC review programs based on RRC set performance indicators and thresholds
  - High performing programs moved to consent agenda
  - Programs with potential problems require more information with a progress report or site visit



### Review Process in the Next Accreditation System

- RRC screens programs using annual outcome data – high level screening
  - 1. No review comparing to requirements
  - 2. Identify some programs for closer look
  - 3. Decide what information to gather
- 2. For some programs, RRC reviews additional information or site visit and may compare to requirements
- 3. Every program will get an accreditation letter every year

## How will RRC review programs in NAS?

1. Key annual data elements screen programs

95% of programs receive Continued Accreditation

2. Additional information needed (site visit, progress report)

3. Committee reviews all information to make annual accreditation decision



#### RRC Decisions for the Green Box

- 1. Continued accreditation (likely)
  - 1. No cycle length any more
  - 2. May note areas for improvement
  - 3. May note trends
  - 4. May issue citations (unlikely)
- 2. RRCs wants more information
  - 1. Clarification or progress report from PD
  - 2. Focused site visit for specific concern
  - 3. Full site visit for general concern



#### From the Green to the Yellow Box

- 1. Continued accreditation (with warning)
  - 1. Public status is Continued Accreditation
  - 2. Analogous to old 1-2 year cycle
  - 3. RRC data review next year

#### 2. Probation

- Requires a site visit before going on probation
- 2. Site visits will have short notice and no PIF

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3. Requires a site visit before going off probation

#### Decisions for the Yellow Box

- Continued accreditation (green box)
   Probation can only be lifted after a site visit
- 2. Continued accreditation (with warning)
- 3. Probation (max 2 years)
- 4. Withdraw accreditation (red box)
- 5. Request additional information
  - 1. Progress report
  - 2. Site visit, focused or full



### Proposed Adverse Actions Gone

- No longer <u>proposed</u> adverse actions
- Can go directly to (warning) from any status
- Can go directly to probation from any status (site visit required)

 Faster to get off an adverse action after a site visit

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### Decisions for Applications

- 1. Withhold accreditation
- 2. Initial accreditation

- Subspecialties based on application only
- Core programs require an application and a site visit



#### Decisions for Initial Accreditation

Requires a full site visit within 2 years

- 1. Continued Accreditation (green box)
- Initial accreditation with warning (for one more year)
- 3. Withdrawal accreditation (red box)
- 4. No probation (either up or out)



# Adverse Actions What has changed

- No proposed adverse actions
- Adverse accreditation status can only be conferred following a site visit
- Programs with adverse accreditation status cannot request an increase in resident complement
- Probation cannot exceed 2 consecutive annual reviews

# Adverse Actions What hasn't changed

- A program on Withdrawal can complete the current academic year
  - With RRC approval can complete 1 more year
- No new residents can be appointed
- If program re-applies within 2 years, they must address previous citations
  - A site visit is needed for all applications following a withdrawal

### Relationship of Core and Subs

## Fellowships must have a relationship with a core residency program

- Self-study visits of core and associated fellowships will occur at the same time
- Adverse action in core results in the same status for their associated fellowships
  - Withdrawal of core means withdrawal of all associated fellowships
- New fellowships can only be granted IA status if core status is Continued Accreditation
  - NO attached programs can be on Probation or in appeal

# RRC decisions: What is different?

- Citations will be levied by RRC
- Could be removed quickly based upon:
  - Progress report
  - Site visit (focused or full)
  - New annual data from program



# RRC decisions: What is different?

No site visits (as we know them)

but...

- Focused site visits for an "issue"
- Full site visit (no PIF)
- Self-study visits every ten years



#### **Focused Site Visits**

- Assesses selected aspects of a program and may be used:
  - to address potential problems identified during review of annually submitted data;
  - to diagnose factors underlying deterioration in a program's performance
  - to evaluate a complaint against a program



#### **Focused Site Visits**

- Very short notification
- Minimal document preparation expected
- Team of site visitors
- Specific program area(s) looked at as instructed by the RRC





#### **Full Site Visits**

- Application for a new core program
- At the end of the initial accreditation period
- RRC identifies broad issues/concerns
- RRC Identifies other serious conditions or situations
- Short notification period
- Minimal document preparation
- Team of site visitors





#### **Annual Data Submission**

- Accuracy is IMPORTANT
  - Data can be updated at any time, but near end of the year ADS submitted to RRC
- Timeliness is IMPORTANT
  - Missing information is a data element that will be considered in the annual review



# NAS: Annual Data Submission

	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
ADS update												
Milestones (twice)												
Resident survey												
Faculty survey												
Board scores (from ABPM)												



# Program requirement changes PROGRAM EVALUATION COMMITTEES



# Program Evaluation Committee (PEC)

- V.C.1. The program director must appoint the Program Evaluation Committee (PEC). (Core)
- V.C.1).a) The Program Evaluation Committee:
- must be composed of at least two program faculty members and should include at least one resident; (Core)
- must have a written description of its responsibilities; and, (Core)



# Program Evaluation Committee (PEC)

- V.C.1.a)(3) (PEC) should participate actively in:
- (a) planning, developing, implementing, and evaluating educational activities of the program; (Detail)
- reviewing and making recommendations for revision of competency-based curriculum goals and objectives; (Detail)
- (c) addressing areas of non-compliance with ACGME standards; and, (Detail)
- reviewing the program annually using evaluations of faculty, residents, and others, as specified.

  (Detail)

# Residency Advisory Committee (RAC)

- Program requirements related to RAC have not changed and begin with V.C.4.
- 2 committees are NOT needed as PEC and RAC have similar functions; however,
  - External members must be included
  - Members must be certified in Preventive Medicine or knowledgeable about PM education
  - Must meet at least semi-annually
  - Must complete functions as listed V.C.4.b).1) to 7)
  - Must assist the program director to communicate results of program evaluation to DIO

### Some common questions





### Core Faculty

All physician faculty with a significant role in the education of residents and who have documented qualifications to instruct and supervise

- Core faculty listed in scholarly activity table and complete faculty survey
- Core faculty roles:
  - Evaluate the competency domains;
  - Work closely with and support the program director;
  - Assist in developing and implementing evaluation systems;
  - Teach and advise residents

### Core Faculty

- Examples of faculty members that do not meet the definition of core faculty:
  - A physician who supervises residents and nurse practitioners 50/50 during direct patient care rotations and has no other responsibilities (administrative, didactics, research) in the residency
  - Non-physician faculty PhD's teaching in the MPH program

### Core Faculty

- Examples of faculty members that meet the definition of core faculty:
  - A physician who works in the migrant worker clinic with responsibilities that include clinical supervision of residents; is a member of the Clinical Competency Committee; runs simulation exercises in providing care to non-English speaking patients; helps write resident curriculum.
  - A physician scientist who spends most of his time conducting epidemiological research, with only 4 weeks per year of clinical time, but supervises resident research and organizes required monthly EPI journal clubs

### Core Faculty

### What about the 15 hours?

- Meeting criteria for core faculty is more important than hours
- If physician faculty meet all necessary criteria, adjust time on webADS to greater than 15 hours to indicate faculty member is core



### Preventive Medicine Milestones

Jeffrey L. Levin, MD, MSPH

Preventive Medicine Milestones Working

Group



### Milestones ARE...

- Progressive overtime
  - There is no prescribed speed at which residents must move across levels
  - Levels do not refer to post graduate year within a particular program
- Descriptions of resident competence
  - Residents may move to the right OR the left along the continuum at any one evaluation period

### Milestones ARE NOT...

- Graduation requirements
  - Program director makes decision if resident is ready for independent practice



#### General Competency

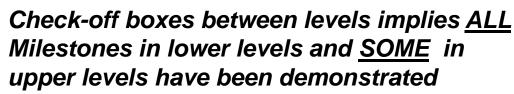
#### Subcompetency

Developmental progression

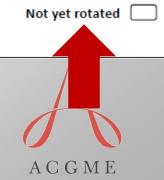
Evaluating Health Services: Evaluate Population-based health services — Patient Care 5

Level 1	Level 2	Level 3	Level 4	Level 5
Recognizes distinctions between population and individual health services	<ul> <li>Describes basic measures of effect (e.g., risk ratio)</li> <li>Describes basic measures of quality (e.g., benchmarking)</li> <li>Lists populations known to be underserved (e.g., low income)</li> </ul>	Assesses evidence for effectiveness of a population-based health service     Uses scientific literature to identify a target population for a given population-based health service     Uses scientific literature to identify barriers to delivery of population-based health service	Uses program goals and/or established performance criteria to evaluate a population-based health service Uses evaluation findings to recommend strategic or operational improvements Uses data to identify barriers to population-based health services	Develops program goals and/or performance criteria to evaluate a population-based health service  Milestone

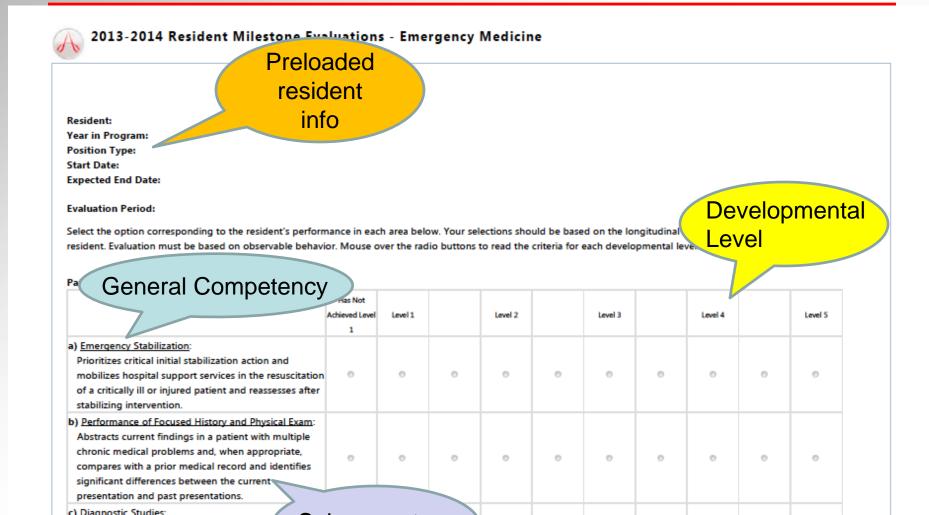
Comments:



Milestone Reporting



### **ADS Milestone Reporting Tool**



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Subcompetency

# Mouse-over Milestone Description

lable data, narrows and ighted differential diagnoses to management.	0	0	0		Ð
appropriate pharmaceutical evant considerations such as ntended effect, financial e adverse effects, patient otential drug-food and institutional policies, and effectively combines agents venes in the advent of adverse	0	0	•	Constructs a list of potential diagnoses, on the greatest likelihood of occurrence Constructs a list of potential diagnoses the greatest potential for morbidity or mortality  Milestone	
essment: indergoing ED observation (and appropriate data and resources, itial diagnosis and, treatment		0	•		D



### Assessment Issues

- Can the Milestones Report replace current assessment tools or end-of-rotation evaluation forms?
  - Pros: when it is relevant and fits the situation;
     when it is understood by the evaluator
  - Cons: when Milestones language is too broad or general or does not apply to the experience; too many milestones to assess

### How do we Assess Milestones Levels?

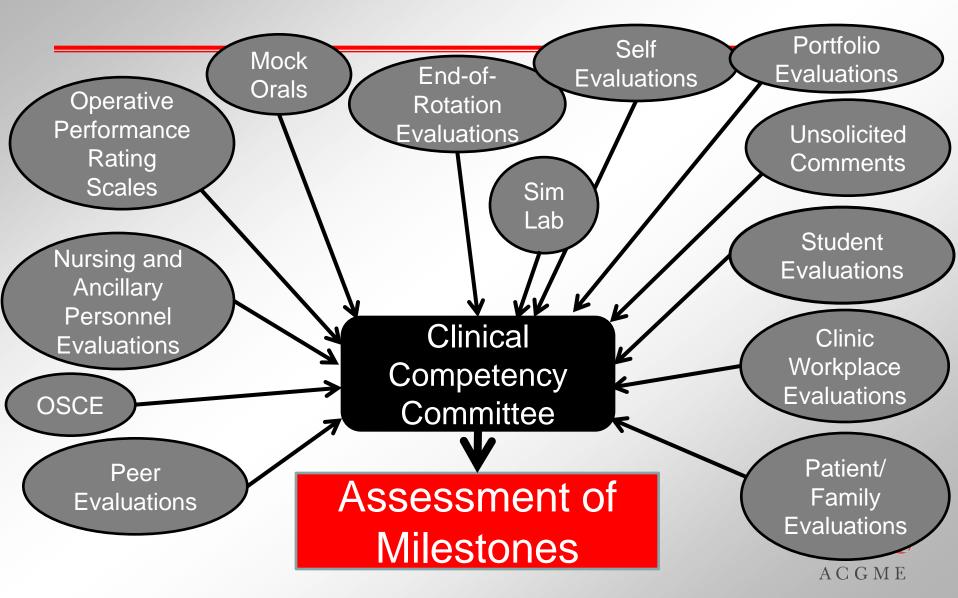
- Milestones are a summary of how a resident is progressing
- We have to gather data to be able to decide on how residents progress on the milestones
- Some subcompetencies may be more amenable to monthly, quarterly, or semiannual global rating scales, some may be collected once during the entire program

# The Resident's Milestone Level is Determined by the Clinical Competency Committee

- A group of faculty members looking at the Milestones
- The same set of eyes looking at other evaluations:
  - End-of-rotation
  - Nurses
  - Patients and families
  - Peers
  - Others
- The same process is applied uniformly
- Allows for more uniformity and less individual bias



## Clinical Competency Committee



### What Should a CCC Do First?

- Learn your specialty Milestones
  - Posted on Preventive Medicine page of ACGME.org
- Decide how to assess the Milestones
- If necessary, identify new evaluation tools from program director associations, societies, colleges
- Faculty members should:
  - Discuss definitions and narratives
  - Agree on the narratives
  - Learn about assessment tools



# Program requirement changes

# CLINICAL COMPETENCY COMMITTEES



### Clinical Competency Committee (CCC)

- V.A.1 The program director must appoint the Clinical Competency Committee. (Core)
- V.A.1.a) At a minimum the Clinical Competency Committee must be composed of three members of the program faculty. (Core)
- V.A.1.a) (1) Others eligible for appointment to the committee include faculty from other programs and non-physician members of the health care team. (Detail)

### Clinical Competency Committee (CCC)

**V.A.1.b**)

There must be a written description of the responsibilities of the Clinical Competency Committee. (Core)

V.A.1.b) (1) The Clinical Competency Committee should:

(a)

review all resident evaluations semi-annually; (Core)

(b)

prepare and assure the reporting of Milestones evaluations of each resident semi-annually to ACGME; and, (Core)

(c)

advise the program director regarding resident progress, including promotion, remediation, and (Detail) dismissal. ACGME