

**ACGME Program Requirements for  
Graduate Medical Education  
In Anesthesiology**

ACGME-approved focused revision: June 13, 2020; effective July 1, 2020

## Contents

Introduction .....	3
Int.A. Preamble .....	3
Int.B. Definition of Specialty .....	3
Int.C. Length of Educational Program .....	4
I. Oversight .....	4
I.A. Sponsoring Institution .....	4
I.B. Participating Sites .....	4
I.C. Recruitment .....	6
I.D. Resources .....	6
I.E. Other Learners and Other Care Providers .....	7
II. Personnel .....	8
II.A. Program Director .....	8
II.B. Faculty .....	12
II.C. Program Coordinator .....	15
II.D. Other Program Personnel .....	16
III. Resident Appointments .....	16
III.A. Eligibility Requirements .....	16
III.B. Number of Residents .....	18
III.C. Resident Transfers .....	18
IV. Educational Program .....	18
IV.A. Curriculum Components .....	19
IV.B. ACGME Competencies .....	20
IV.C. Curriculum Organization and Resident Experiences .....	29
IV.D. Scholarship .....	34
V. Evaluation .....	36
V.A. Resident Evaluation .....	36
V.B. Faculty Evaluation .....	40
V.C. Program Evaluation and Improvement .....	41
VI. The Learning and Working Environment .....	44
VI.A. Patient Safety, Quality Improvement, Supervision, and Accountability .....	45
VI.B. Professionalism .....	50
VI.C. Well-Being .....	52
VI.D. Fatigue Mitigation .....	55
VI.E. Clinical Responsibilities, Teamwork, and Transitions of Care .....	56
VI.F. Clinical Experience and Education .....	57

1                    **ACGME Program Requirements for Graduate Medical Education**  
2    **in Anesthesiology**

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4                    **Common Program Requirements (Residency) are in BOLD**

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6     Where applicable, text in italics describes the underlying philosophy of the requirements in that  
7     section. These philosophic statements are not program requirements and are therefore not  
8     citable.

9  
10    **Introduction**

11  
12    **Int.A.**           ***Graduate medical education is the crucial step of professional***  
13                    ***development between medical school and autonomous clinical practice. It***  
14                    ***is in this vital phase of the continuum of medical education that residents***  
15                    ***learn to provide optimal patient care under the supervision of faculty***  
16                    ***members who not only instruct, but serve as role models of excellence,***  
17                    ***compassion, professionalism, and scholarship.***

18  
19                    ***Graduate medical education transforms medical students into physician***  
20                    ***scholars who care for the patient, family, and a diverse community; create***  
21                    ***and integrate new knowledge into practice; and educate future generations***  
22                    ***of physicians to serve the public. Practice patterns established during***  
23                    ***graduate medical education persist many years later.***

24  
25                    ***Graduate medical education has as a core tenet the graded authority and***  
26                    ***responsibility for patient care. The care of patients is undertaken with***  
27                    ***appropriate faculty supervision and conditional independence, allowing***  
28                    ***residents to attain the knowledge, skills, attitudes, and empathy required***  
29                    ***for autonomous practice. Graduate medical education develops physicians***  
30                    ***who focus on excellence in delivery of safe, equitable, affordable, quality***  
31                    ***care; and the health of the populations they serve. Graduate medical***  
32                    ***education values the strength that a diverse group of physicians brings to***  
33                    ***medical care.***

34  
35                    ***Graduate medical education occurs in clinical settings that establish the***  
36                    ***foundation for practice-based and lifelong learning. The professional***  
37                    ***development of the physician, begun in medical school, continues through***  
38                    ***faculty modeling of the effacement of self-interest in a humanistic***  
39                    ***environment that emphasizes joy in curiosity, problem-solving, academic***  
40                    ***rigor, and discovery. This transformation is often physically, emotionally,***  
41                    ***and intellectually demanding and occurs in a variety of clinical learning***  
42                    ***environments committed to graduate medical education and the well-being***  
43                    ***of patients, residents, fellows, faculty members, students, and all members***  
44                    ***of the health care team.***

45  
46    **Int.B.**           **Definition of Specialty**

47  
48                    The Review Committee representing the medical specialty of anesthesiology  
49                    exists in order to foster and maintain the highest standards of education and  
50                    educational facilities in anesthesiology, which the Review Committee defines as  
51                    Anesthesiology is the practice of medicine dealing with the peri-operative

52 management of patients. This includes the peri-operative/peri-procedural  
53 management of patients during surgical and other therapeutic and diagnostic  
54 procedures. This management encompasses the pre-operative preparation of the  
55 patient and their peri-operative maintenance of normal physiology, as well as the  
56 post-operative relief and prevention of pain. An anesthesiologist is skilled in the  
57 management and diagnosis of critically-ill patients, including those experiencing  
58 cardiac arrest, and in the diagnosis and management of acute, chronic, and  
59 cancer-related pain. These goals are achieved through a thorough understanding  
60 of physiology and pharmacology, and the ability to conduct, interpret, and apply  
61 the results of medical research. Finally, the anesthesiologist is skilled in the  
62 leadership of health services delivery, prudent fiscal resource stewardship, and  
63 quality improvement, as well as the supervision, education, and evaluation of the  
64 performance of personnel, both medical and paramedical, involved in peri-  
65 operative and peri-procedural care.

### 67 **Int.C. Length of Educational Program**

68  
69 The educational programs in anesthesiology are configured in 36-month and 48-  
70 month formats. The latter includes 12 months of education in fundamental clinical  
71 skills of medicine, and both include 36 months of education in clinical anesthesia  
72 (CA-1, CA-2, and CA-3 years). <sup>(Core)\*</sup>

### 74 **I. Oversight**

#### 76 **I.A. Sponsoring Institution**

77  
78 *The Sponsoring Institution is the organization or entity that assumes the*  
79 *ultimate financial and academic responsibility for a program of graduate*  
80 *medical education, consistent with the ACGME Institutional Requirements.*

81  
82 *When the Sponsoring Institution is not a rotation site for the program, the*  
83 *most commonly utilized site of clinical activity for the program is the*  
84 *primary clinical site.*

85  
**Background and Intent: Participating sites will reflect the health care needs of the community and the educational needs of the residents. A wide variety of organizations may provide a robust educational experience and, thus, Sponsoring Institutions and participating sites may encompass inpatient and outpatient settings including, but not limited to a university, a medical school, a teaching hospital, a nursing home, a school of public health, a health department, a public health agency, an organized health care delivery system, a medical examiner's office, an educational consortium, a teaching health center, a physician group practice, federally qualified health center, or an educational foundation.**

#### 87 **I.A.1. The program must be sponsored by one ACGME-accredited** 88 **Sponsoring Institution. <sup>(Core)</sup>**

#### 90 **I.B. Participating Sites**

91  
92 *A participating site is an organization providing educational experiences or*  
93 *educational assignments/rotations for residents.*

- 94  
95 **I.B.1. The program, with approval of its Sponsoring Institution, must**  
96 **designate a primary clinical site.** <sup>(Core)</sup>  
97  
98 I.B.1.a) The Sponsoring Institution must also sponsor or be affiliated with  
99 ACGME-accredited residencies in at least the specialties of  
100 general surgery and internal medicine. <sup>(Core)</sup>  
101  
102 **I.B.2. There must be a program letter of agreement (PLA) between the**  
103 **program and each participating site that governs the relationship**  
104 **between the program and the participating site providing a required**  
105 **assignment.** <sup>(Core)</sup>  
106  
107 **I.B.2.a) The PLA must:**  
108  
109 **I.B.2.a).(1) be renewed at least every 10 years; and,** <sup>(Core)</sup>  
110  
111 **I.B.2.a).(2) be approved by the designated institutional official**  
112 **(DIO).** <sup>(Core)</sup>  
113  
114 **I.B.3. The program must monitor the clinical learning and working**  
115 **environment at all participating sites.** <sup>(Core)</sup>  
116  
117 **I.B.3.a) At each participating site there must be one faculty member,**  
118 **designated by the program director as the site director, who**  
119 **is accountable for resident education at that site, in**  
120 **collaboration with the program director.** <sup>(Core)</sup>  
121

**Background and Intent: While all residency programs must be sponsored by a single ACGME-accredited Sponsoring Institution, many programs will utilize other clinical settings to provide required or elective training experiences. At times it is appropriate to utilize community sites that are not owned by or affiliated with the Sponsoring Institution. Some of these sites may be remote for geographic, transportation, or communication issues. When utilizing such sites the program must ensure the quality of the educational experience. The requirements under I.B.3. are intended to ensure that this will be the case.**

**Suggested elements to be considered in PLAs will be found in the ACGME Program Director's Guide to the Common Program Requirements. These include:**

- **Identifying the faculty members who will assume educational and supervisory responsibility for residents**
- **Specifying the responsibilities for teaching, supervision, and formal evaluation of residents**
- **Specifying the duration and content of the educational experience**
- **Stating the policies and procedures that will govern resident education during the assignment**

- 122  
123 **I.B.4. The program director must submit any additions or deletions of**  
124 **participating sites routinely providing an educational experience,**

125 required for all residents, of one month full time equivalent (FTE) or  
126 more through the ACGME's Accreditation Data System (ADS). (Core)

127  
128 I.B.5. The majority of rotations for the anesthesiology program must occur at  
129 the ~~sponsoring institution~~ primary clinical site. (Core)

130  
131 I.B.5.a) Participating sites must provide rotations that the Sponsoring  
132 Institution is unable to provide. (Core)

133  
134 I.B.5.a).(1) Residents should not be required to rotate among multiple  
135 participating sites. (Detail)†

136  
137 I.B.5.a).(2) Assignments to a participating site should not exceed six  
138 months. (Detail)

139  
140 I.C. **The program, in partnership with its Sponsoring Institution, must engage in**  
141 **practices that focus on mission-driven, ongoing, systematic recruitment**  
142 **and retention of a diverse and inclusive workforce of residents, fellows (if**  
143 **present), faculty members, senior administrative staff members, and other**  
144 **relevant members of its academic community.** (Core)

145

**Background and Intent: It is expected that the Sponsoring Institution has, and programs implement, policies and procedures related to recruitment and retention of minorities underrepresented in medicine and medical leadership in accordance with the Sponsoring Institution's mission and aims. The program's annual evaluation must include an assessment of the program's efforts to recruit and retain a diverse workforce, as noted in V.C.1.c).(5).(c).**

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147 I.D. **Resources**

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149 I.D.1. **The program, in partnership with its Sponsoring Institution, must**  
150 **ensure the availability of adequate resources for resident education.**  
151 (Core)

152

153 I.D.1.a) There must be adequate space and equipment for the educational  
154 program, including meeting rooms, classrooms with visual and  
155 other educational aids, study areas for residents, office space for  
156 faculty members and residents, diagnostic and therapeutic  
157 facilities, laboratory facilities, computer support, and appropriate  
158 on-call facilities for male and female residents and faculty  
159 members. (Core)

160

161 I.D.2. **The program, in partnership with its Sponsoring Institution, must**  
162 **ensure healthy and safe learning and working environments that**  
163 **promote resident well-being and provide for:** (Core)

164

165 I.D.2.a) **access to food while on duty;** (Core)

166

167 I.D.2.b) **safe, quiet, clean, and private sleep/rest facilities available**  
168 **and accessible for residents with proximity appropriate for**  
169 **safe patient care;** (Core)

170

**Background and Intent:** Care of patients within a hospital or health system occurs continually through the day and night. Such care requires that residents function at their peak abilities, which requires the work environment to provide them with the ability to meet their basic needs within proximity of their clinical responsibilities. Access to food and rest are examples of these basic needs, which must be met while residents are working. Residents should have access to refrigeration where food may be stored. Food should be available when residents are required to be in the hospital overnight. Rest facilities are necessary, even when overnight call is not required, to accommodate the fatigued resident.

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**I.D.2.c)** clean and private facilities for lactation that have refrigeration capabilities, with proximity appropriate for safe patient care; (Core)

**Background and Intent:** Sites must provide private and clean locations where residents may lactate and store the milk within a refrigerator. These locations should be in close proximity to clinical responsibilities. It would be helpful to have additional support within these locations that may assist the resident with the continued care of patients, such as a computer and a phone. While space is important, the time required for lactation is also critical for the well-being of the resident and the resident's family, as outlined in VI.C.1.d).(1).

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**I.D.2.d)** security and safety measures appropriate to the participating site; and, (Core)

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**I.D.2.e)** accommodations for residents with disabilities consistent with the Sponsoring Institution's policy. (Core)

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**I.D.3.** Residents must have ready access to specialty-specific and other appropriate reference material in print or electronic format. This must include access to electronic medical literature databases with full text capabilities. (Core)

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**I.D.4.** The program's educational and clinical resources must be adequate to support the number of residents appointed to the program. (Core)

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**I.E.** The presence of other learners and other care providers, including, but not limited to, residents from other programs, subspecialty fellows, and advanced practice providers, must enrich the appointed residents' education. (Core)

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**I.E.1.** The program must report circumstances when the presence of other learners has interfered with the residents' education to the DIO and Graduate Medical Education Committee (GMEC). (Core)

**Background and Intent:** The clinical learning environment has become increasingly complex and often includes care providers, students, and post-graduate residents and fellows from multiple disciplines. The presence of these practitioners and their learners enriches the learning environment. Programs have a responsibility to monitor

the learning environment to ensure that residents' education is not compromised by the presence of other providers and learners.

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**II. Personnel**

**II.A. Program Director**

**II.A.1. There must be one faculty member appointed as program director with authority and accountability for the overall program, including compliance with all applicable program requirements. (Core)**

**II.A.1.a) The Sponsoring Institution's GMEC must approve a change in program director. (Core)**

**II.A.1.b) Final approval of the program director resides with the Review Committee. (Core)**

**Background and Intent: While the ACGME recognizes the value of input from numerous individuals in the management of a residency, a single individual must be designated as program director and made responsible for the program. This individual will have dedicated time for the leadership of the residency, and it is this individual's responsibility to communicate with the residents, faculty members, DIO, GMEC, and the ACGME. The program director's nomination is reviewed and approved by the GMEC. Final approval of program directors resides with the Review Committee.**

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**II.A.1.c) The program must demonstrate retention of the program director for a length of time adequate to maintain continuity of leadership and program stability. (Core)**

**Background and Intent: The success of residency programs is generally enhanced by continuity in the program director position. The professional activities required of a program director are unique and complex and take time to master. All programs are encouraged to undertake succession planning to facilitate program stability when there is necessary turnover in the program director position.**

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**II.A.2. At a minimum, the program director must be provided with the salary support required to devote 20 percent FTE of non-clinical time to the administration of the program. (Core)**

**II.A.2.a) ~~Programs with more than 20 residents must provide a minimum of 40 percent protected time for the program director. Additional support must be provided based on program size as follows:~~ (Core)**

<u>Number of Approved Resident Positions</u>	<u>Minimum FTE</u>
<u>1-20</u>	<u>0.2</u>
<u>≥20</u>	<u>0.4</u>

**Background and Intent: Twenty percent FTE is defined as one day per week.**



**“Administrative time” is defined as non-clinical time spent meeting the responsibilities of the program director as detailed in requirements II.A.4.-II.A.4.a).(16).**

**The requirement does not address the source of funding required to provide the specified salary support.**

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**II.A.3. Qualifications of the program director:**

**II.A.3.a) must include specialty expertise and at least three years of documented educational and/or administrative experience, or qualifications acceptable to the Review Committee; (Core)**

**Background and Intent: Leading a program requires knowledge and skills that are established during residency and subsequently further developed. The time period from completion of residency until assuming the role of program director allows the individual to cultivate leadership abilities while becoming professionally established. The three-year period is intended for the individual's professional maturation.**

**The broad allowance for educational and/or administrative experience recognizes that strong leaders arise through diverse pathways. These areas of expertise are important when identifying and appointing a program director. The choice of a program director should be informed by the mission of the program and the needs of the community.**

**In certain circumstances, the program and Sponsoring Institution may propose and the Review Committee may accept a candidate for program director who fulfills these goals but does not meet the three-year minimum.**

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**II.A.3.b) must include current certification in the specialty for which they are the program director by the American Board of Anesthesiology or by the American Osteopathic Board of Anesthesiology, or specialty qualifications that are acceptable to the Review Committee; (Core)**

**II.A.3.c) must include current medical licensure and appropriate medical staff appointment; (Core)**

**II.A.3.d) must include ongoing clinical activity; and, (Core)**

**Background and Intent: A program director is a role model for faculty members and residents. The program director must participate in clinical activity consistent with the specialty. This activity will allow the program director to role model the Core Competencies for the faculty members and residents.**

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**II.A.3.e) must demonstrate ongoing academic achievements in anesthesiology, including publications, the development of educational programs, or the conduct of research. (Core)**

**II.A.4. Program Director Responsibilities**

261 The program director must have responsibility, authority, and  
262 accountability for: administration and operations; teaching and  
263 scholarly activity; resident recruitment and selection, evaluation,  
264 and promotion of residents, and disciplinary action; supervision of  
265 residents; and resident education in the context of patient care. <sup>(Core)</sup>  
266

267 **II.A.4.a) The program director must:**

268  
269 **II.A.4.a).(1) be a role model of professionalism;** <sup>(Core)</sup>  
270

**Background and Intent:** The program director, as the leader of the program, must serve as a role model to residents in addition to fulfilling the technical aspects of the role. As residents are expected to demonstrate compassion, integrity, and respect for others, they must be able to look to the program director as an exemplar. It is of utmost importance, therefore, that the program director model outstanding professionalism, high quality patient care, educational excellence, and a scholarly approach to work. The program director creates an environment where respectful discussion is welcome, with the goal of continued improvement of the educational experience.

271  
272 **II.A.4.a).(2) design and conduct the program in a fashion**  
273 **consistent with the needs of the community, the**  
274 **mission(s) of the Sponsoring Institution, and the**  
275 **mission(s) of the program;** <sup>(Core)</sup>  
276

**Background and Intent:** The mission of institutions participating in graduate medical education is to improve the health of the public. Each community has health needs that vary based upon location and demographics. Programs must understand the social determinants of health of the populations they serve and incorporate them in the design and implementation of the program curriculum, with the ultimate goal of addressing these needs and health disparities.

277  
278 **II.A.4.a).(3) administer and maintain a learning environment**  
279 **conducive to educating the residents in each of the**  
280 **ACGME Competency domains;** <sup>(Core)</sup>  
281

**Background and Intent:** The program director may establish a leadership team to assist in the accomplishment of program goals. Residency programs can be highly complex. In a complex organization, the leader typically has the ability to delegate authority to others, yet remains accountable. The leadership team may include physician and non-physician personnel with varying levels of education, training, and experience.

282  
283 **II.A.4.a).(4) develop and oversee a process to evaluate candidates**  
284 **prior to approval as program faculty members for**  
285 **participation in the residency program education and**  
286 **at least annually thereafter, as outlined in V.B.;** <sup>(Core)</sup>  
287

- 288 **II.A.4.a).(5)** have the authority to approve program faculty  
 289 members for participation in the residency program  
 290 education at all sites; <sup>(Core)</sup>  
 291
- 292 **II.A.4.a).(6)** have the authority to remove program faculty  
 293 members from participation in the residency program  
 294 education at all sites; <sup>(Core)</sup>  
 295
- 296 **II.A.4.a).(7)** have the authority to remove residents from  
 297 supervising interactions and/or learning environments  
 298 that do not meet the standards of the program; <sup>(Core)</sup>  
 299

**Background and Intent: The program director has the responsibility to ensure that all who educate residents effectively role model the Core Competencies. Working with a resident is a privilege that is earned through effective teaching and professional role modeling. This privilege may be removed by the program director when the standards of the clinical learning environment are not met.**

**There may be faculty in a department who are not part of the educational program, and the program director controls who is teaching the residents.**

- 300
- 301 **II.A.4.a).(8)** submit accurate and complete information required  
 302 and requested by the DIO, GMEC, and ACGME; <sup>(Core)</sup>  
 303
- 304 **II.A.4.a).(9)** provide applicants who are offered an interview with  
 305 information related to the applicant's eligibility for the  
 306 relevant specialty board examination(s); <sup>(Core)</sup>  
 307
- 308 **II.A.4.a).(10)** provide a learning and working environment in which  
 309 residents have the opportunity to raise concerns and  
 310 provide feedback in a confidential manner as  
 311 appropriate, without fear of intimidation or retaliation;  
 312 <sup>(Core)</sup>  
 313
- 314 **II.A.4.a).(11)** ensure the program's compliance with the Sponsoring  
 315 Institution's policies and procedures related to  
 316 grievances and due process; <sup>(Core)</sup>  
 317
- 318 **II.A.4.a).(12)** ensure the program's compliance with the Sponsoring  
 319 Institution's policies and procedures for due process  
 320 when action is taken to suspend or dismiss, not to  
 321 promote, or not to renew the appointment of a  
 322 resident; <sup>(Core)</sup>  
 323

**Background and Intent: A program does not operate independently of its Sponsoring Institution. It is expected that the program director will be aware of the Sponsoring Institution's policies and procedures, and will ensure they are followed by the program's leadership, faculty members, support personnel, and residents.**

324

- 325 II.A.4.a).(13) ensure the program’s compliance with the Sponsoring  
 326 Institution’s policies and procedures on employment  
 327 and non-discrimination; (Core)  
 328
- 329 II.A.4.a).(13).(a) Residents must not be required to sign a non-  
 330 competition guarantee or restrictive covenant.  
 331 (Core)  
 332
- 333 II.A.4.a).(14) document verification of program completion for all  
 334 graduating residents within 30 days; (Core)  
 335
- 336 II.A.4.a).(15) provide verification of an individual resident’s  
 337 completion upon the resident’s request, within 30  
 338 days; and, (Core)  
 339

**Background and Intent: Primary verification of graduate medical education is important to credentialing of physicians for further training and practice. Such verification must be accurate and timely. Sponsoring Institution and program policies for record retention are important to facilitate timely documentation of residents who have previously completed the program. Residents who leave the program prior to completion also require timely documentation of their summative evaluation.**

- 340
- 341 II.A.4.a).(16) obtain review and approval of the Sponsoring  
 342 Institution’s DIO before submitting information or  
 343 requests to the ACGME, as required in the Institutional  
 344 Requirements and outlined in the ACGME Program  
 345 Director’s Guide to the Common Program  
 346 Requirements. (Core)  
 347

348 **II.B. Faculty**

349

350 *Faculty members are a foundational element of graduate medical education*  
 351 *– faculty members teach residents how to care for patients. Faculty*  
 352 *members provide an important bridge allowing residents to grow and*  
 353 *become practice-ready, ensuring that patients receive the highest quality of*  
 354 *care. They are role models for future generations of physicians by*  
 355 *demonstrating compassion, commitment to excellence in teaching and*  
 356 *patient care, professionalism, and a dedication to lifelong learning. Faculty*  
 357 *members experience the pride and joy of fostering the growth and*  
 358 *development of future colleagues. The care they provide is enhanced by*  
 359 *the opportunity to teach. By employing a scholarly approach to patient*  
 360 *care, faculty members, through the graduate medical education system,*  
 361 *improve the health of the individual and the population.*

362

363 *Faculty members ensure that patients receive the level of care expected*  
 364 *from a specialist in the field. They recognize and respond to the needs of*  
 365 *the patients, residents, community, and institution. Faculty members*  
 366 *provide appropriate levels of supervision to promote patient safety. Faculty*  
 367 *members create an effective learning environment by acting in a*  
 368 *professional manner and attending to the well-being of the residents and*  
 369 *themselves.*

370

**Background and Intent: “Faculty” refers to the entire teaching force responsible for educating residents. The term “faculty,” including “core faculty,” does not imply or require an academic appointment or salary support.**

371

372

**II.B.1. At each participating site, there must be a sufficient number of faculty members with competence to instruct and supervise all residents at that location. (Core)**

373

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**II.B.1.a) The members of the faculty must have varying interests, capabilities, and backgrounds, and include individuals who have specialized expertise in the subspecialties of anesthesiology, including critical care, obstetric anesthesia, pediatric anesthesia, neuroanesthesia, cardiothoracic anesthesia, and pain medicine, and also in research. (Core)**

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**II.B.1.b) Didactic and clinical teaching should be provided by faculty members with documented interests and expertise in the subspecialty involved. (Detail)**

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**II.B.1.c) The number of faculty members must be sufficient to provide each resident with adequate supervision, which shall not vary substantially with the time of day or the day of the week. (Core)**

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**II.B.1.d) Designated faculty members must be readily and consistently available for consultation and teaching. (Core)**

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**II.B.2. Faculty members must:**

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**II.B.2.a) be role models of professionalism; (Core)**

397

398

**II.B.2.b) demonstrate commitment to the delivery of safe, quality, cost-effective, patient-centered care; (Core)**

399

400

**Background and Intent: Patients have the right to expect quality, cost-effective care with patient safety at its core. The foundation for meeting this expectation is formed during residency and fellowship. Faculty members model these goals and continually strive for improvement in care and cost, embracing a commitment to the patient and the community they serve.**

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**II.B.2.c) demonstrate a strong interest in the education of residents; (Core)**

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**II.B.2.d) devote sufficient time to the educational program to fulfill their supervisory and teaching responsibilities; (Core)**

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**II.B.2.e) administer and maintain an educational environment conducive to educating residents; (Core)**

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**II.B.2.f) regularly participate in organized clinical discussions, rounds, journal clubs, and conferences; and, (Core)**

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413  
414 **II.B.2.g) pursue faculty development designed to enhance their skills**  
415 **at least annually: (Core)**  
416

**Background and Intent: Faculty development is intended to describe structured programming developed for the purpose of enhancing transference of knowledge, skill, and behavior from the educator to the learner. Faculty development may occur in a variety of configurations (lecture, workshop, etc.) using internal and/or external resources. Programming is typically needs-based (individual or group) and may be specific to the institution or the program. Faculty development programming is to be reported for the residency program faculty in the aggregate.**

417  
418 **II.B.2.g).(1) as educators; (Core)**  
419  
420 **II.B.2.g).(2) in quality improvement and patient safety; (Core)**  
421  
422 **II.B.2.g).(3) in fostering their own and their residents' well-being;**  
423 **and, (Core)**  
424  
425 **II.B.2.g).(4) in patient care based on their practice-based learning**  
426 **and improvement efforts. (Core)**  
427

**Background and Intent: Practice-based learning serves as the foundation for the practice of medicine. Through a systematic analysis of one's practice and review of the literature, one is able to make adjustments that improve patient outcomes and care. Thoughtful consideration to practice-based analysis improves quality of care, as well as patient safety. This allows faculty members to serve as role models for residents in practice-based learning.**

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429 **II.B.3. Faculty Qualifications**  
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431 **II.B.3.a) Faculty members must have appropriate qualifications in**  
432 **their field and hold appropriate institutional appointments.**  
433 **(Core)**  
434  
435 **II.B.3.b) Physician faculty members must:**  
436  
437 **II.B.3.b).(1) have current certification in the specialty by the**  
438 **American Board of Anesthesiology or the American**  
439 **Osteopathic Board of Anesthesiology, or possess**  
440 **qualifications judged acceptable to the Review**  
441 **Committee. (Core)**  
442  
443 **II.B.3.c) Any non-physician faculty members who participate in**  
444 **residency program education must be approved by the**  
445 **program director. (Core)**  
446

**Background and Intent: The provision of optimal and safe patient care requires a team approach. The education of residents by non-physician educators enables the resident to better manage patient care and provides valuable advancement of the**

residents' knowledge. Furthermore, other individuals contribute to the education of the resident in the basic science of the specialty or in research methodology. If the program director determines that the contribution of a non-physician individual is significant to the education of the residents, the program director may designate the individual as a program faculty member or a program core faculty member.

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**II.B.4. Core Faculty**

Core faculty members must have a significant role in the education and supervision of residents and must devote a significant portion of their entire effort to resident education and/or administration, and must, as a component of their activities, teach, evaluate, and provide formative feedback to residents. <sup>(Core)</sup>

**Background and Intent:** Core faculty members are critical to the success of resident education. They support the program leadership in developing, implementing, and assessing curriculum and in assessing residents' progress toward achievement of competence in the specialty. Core faculty members should be selected for their broad knowledge of and involvement in the program, permitting them to effectively evaluate the program, including completion of the annual ACGME Faculty Survey.

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**II.B.4.a) Core faculty members must be designated by the program director.** <sup>(Core)</sup>

**II.B.4.b) Core faculty members must complete the annual ACGME Faculty Survey.** <sup>(Core)</sup>

**II.B.4.c) There must be at least six core physician faculty members, not including the program director.** <sup>(Core)</sup>

**II.C. Program Coordinator**

**II.C.1. There must be a program coordinator.** <sup>(Core)</sup>

**II.C.2. At a minimum, the program coordinator must be supported at ~~50~~ 100 percent FTE for the administration of the program.** <sup>(Core)</sup>

**II.C.2.a) Additional support must be provided based on program size as follows:** <sup>(Core)</sup>

<u>Number of Approved Resident Positions</u>	<u>Minimum FTE Required</u>
<u>1-40</u>	<u>1.0 FTE</u>
<u>41-60</u>	<u>1.5 FTE coordinator</u>
<u>61-80</u>	<u>2.0 FTE support personnel (including at least 1.0 FTE coordinator)</u>
<u>81-100</u>	<u>2.5 FTE support personnel (including at least 1.0 FTE</u>

	<u>coordinator)</u>
<u>100 or more</u>	<u>3.0 FTE support personnel</u> <u>(including at least 1.0 FTE</u> <u>coordinator)</u>

476

**Background and Intent: One hundred percent FTE is defined as five days per week.**

**The requirement does not address the source of funding required to provide the specified salary support.**

**Each program requires a lead administrative person, frequently referred to as a program coordinator, administrator, or as titled by the institution. This person will frequently manage the day-to-day operations of the program and serve as an important liaison with learners, faculty and other staff members, and the ACGME. Individuals serving in this role are recognized as program coordinators by the ACGME.**

**The program coordinator is a member of the leadership team and is critical to the success of the program. As such, the program coordinator must possess skills in leadership and personnel management. Program coordinators are expected to develop unique knowledge of the ACGME and Program Requirements, policies, and procedures. Program coordinators assist the program director in accreditation efforts, educational programming, and support of residents.**

**Programs, in partnership with their Sponsoring Institutions, should encourage the professional development of their program coordinators and avail them of opportunities for both professional and personal growth. Programs with fewer residents may not require a full-time coordinator; one coordinator may support more than one program.**

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**II.D. Other Program Personnel**

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**The program, in partnership with its Sponsoring Institution, must jointly ensure the availability of necessary personnel for the effective administration of the program. <sup>(Core)</sup>**

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**Background and Intent: Multiple personnel may be required to effectively administer a program. These may include staff members with clerical skills, project managers, education experts, and staff members to maintain electronic communication for the program. These personnel may support more than one program in more than one discipline.**

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**III. Resident Appointments**

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**III.A. Eligibility Requirements**

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**III.A.1. An applicant must meet one of the following qualifications to be eligible for appointment to an ACGME-accredited program: <sup>(Core)</sup>**

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**III.A.1.a) graduation from a medical school in the United States or Canada, accredited by the Liaison Committee on Medical**

493



494 Education (LCME) or graduation from a college of  
495 osteopathic medicine in the United States, accredited by the  
496 American Osteopathic Association Commission on  
497 Osteopathic College Accreditation (AOACOCA); or, <sup>(Core)</sup>  
498  
499 **III.A.1.b)** graduation from a medical school outside of the United  
500 States or Canada, and meeting one of the following additional  
501 qualifications: <sup>(Core)</sup>  
502  
503 **III.A.1.b).(1)** holding a currently valid certificate from the  
504 Educational Commission for Foreign Medical  
505 Graduates (ECFMG) prior to appointment; or, <sup>(Core)</sup>  
506  
507 **III.A.1.b).(2)** holding a full and unrestricted license to practice  
508 medicine in the United States licensing jurisdiction in  
509 which the ACGME-accredited program is located. <sup>(Core)</sup>  
510  
511 **III.A.2.** All prerequisite post-graduate clinical education required for initial  
512 entry or transfer into ACGME-accredited residency programs must  
513 be completed in ACGME-accredited residency programs, AOA-  
514 approved residency programs, Royal College of Physicians and  
515 Surgeons of Canada (RCPSC)-accredited or College of Family  
516 Physicians of Canada (CFPC)-accredited residency programs  
517 located in Canada, or in residency programs with ACGME  
518 International (ACGME-I) Advanced Specialty Accreditation. <sup>(Core)</sup>  
519  
520 **III.A.2.a)** Residency programs must receive verification of each  
521 resident's level of competency in the required clinical field  
522 using ACGME, CanMEDS, or ACGME-I Milestones evaluations  
523 from the prior training program upon matriculation. <sup>(Core)</sup>  
524  
525 **III.A.2.a).(1)** Residents entering a 36-month anesthesiology program  
526 that does not include education in fundamental clinical  
527 skills of medicine must have successfully completed 12  
528 months of education in fundamental clinical skills of  
529 medicine in a program that satisfies the requirements in  
530 III.A.2. <sup>(Core)</sup>  
531  
532 **III.A.2.a).(1).(a)** If such residents have also been accepted into an  
533 anesthesiology program, then in order to be  
534 accepted into the CA-1 year, they must  
535 demonstrate satisfactory abilities on quarterly  
536 written performance evaluations in fundamental  
537 clinical skills of medicine prior to starting their  
538 education in ~~fundamental clinical skills of medicine.~~  
539 <sup>(Core)</sup>  
540  
541 **III.A.2.a).(1).(b)** When a residents completes education in  
542 fundamental clinical skills of medicine in another  
543 accredited program, the anesthesiology program  
544 director must ensure ~~that he/she~~ they receives the

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resident's quarterly their written performance evaluations. (Core)

**Background and Intent: Programs with ACGME-I Foundational Accreditation or from institutions with ACGME-I accreditation do not qualify unless the program has also achieved ACGME-I Advanced Specialty Accreditation. To ensure entrants into ACGME-accredited programs from ACGME-I programs have attained the prerequisite milestones for this training, they must be from programs that have ACGME-I Advanced Specialty Accreditation.**

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**III.A.3. A physician who has completed a residency program that was not accredited by ACGME, AOA, RCPSC, CFPC, or ACGME-I (with Advanced Specialty Accreditation) may enter an ACGME-accredited residency program in the same specialty at the PGY-1 level and, at the discretion of the program director of the ACGME-accredited program and with approval by the GMEC, may be advanced to the PGY-2 level based on ACGME Milestones evaluations at the ACGME-accredited program. This provision applies only to entry into residency in those specialties for which an initial clinical year is not required for entry. (Core)**

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**III.B. The program director must not appoint more residents than approved by the Review Committee. (Core)**

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**III.B.1. All complement increases must be approved by the Review Committee. (Core)**

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**III.B.2. There must be a minimum of nine residents with, on average, three appointed in each of the CA-1, CA-2, and CA-3 years. (Core)**

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**III.C. Resident Transfers**

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**The program must obtain verification of previous educational experiences and a summative competency-based performance evaluation prior to acceptance of a transferring resident, and Milestones evaluations upon matriculation. (Core)**

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**IV. Educational Program**

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***The ACGME accreditation system is designed to encourage excellence and innovation in graduate medical education regardless of the organizational affiliation, size, or location of the program.***

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***The educational program must support the development of knowledgeable, skillful physicians who provide compassionate care.***

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***In addition, the program is expected to define its specific program aims consistent with the overall mission of its Sponsoring Institution, the needs of the community it serves and that its graduates will serve, and the distinctive capabilities of physicians it intends to graduate. While programs must demonstrate substantial compliance with the Common and specialty-specific Program Requirements, it is***

590 *recognized that within this framework, programs may place different emphasis on*  
591 *research, leadership, public health, etc. It is expected that the program aims will*  
592 *reflect the nuanced program-specific goals for it and its graduates; for example, it*  
593 *is expected that a program aiming to prepare physician-scientists will have a*  
594 *different curriculum from one focusing on community health.*

595  
596 **IV.A. The curriculum must contain the following educational components:** <sup>(Core)</sup>

597  
598 **IV.A.1. a set of program aims consistent with the Sponsoring Institution’s**  
599 **mission, the needs of the community it serves, and the desired**  
600 **distinctive capabilities of its graduates;** <sup>(Core)</sup>

601  
602 **IV.A.1.a) The program’s aims must be made available to program**  
603 **applicants, residents, and faculty members.** <sup>(Core)</sup>

604  
605 **IV.A.2. competency-based goals and objectives for each educational**  
606 **experience designed to promote progress on a trajectory to**  
607 **autonomous practice. These must be distributed, reviewed, and**  
608 **available to residents and faculty members;** <sup>(Core)</sup>

609

**Background and Intent: The trajectory to autonomous practice is documented by Milestones evaluation. The Milestones detail the progress of a resident in attaining skill in each competency domain. They are developed by each specialty group and allow evaluation based on observable behaviors. Milestones are considered formative and should be used to identify learning needs. This may lead to focused or general curricular revision in any given program or to individualized learning plans for any specific resident.**

610

611 **IV.A.3. delineation of resident responsibilities for patient care, progressive**  
612 **responsibility for patient management, and graded supervision;** <sup>(Core)</sup>

613

**Background and Intent: These responsibilities may generally be described by PGY level and specifically by Milestones progress as determined by the Clinical Competency Committee. This approach encourages the transition to competency-based education. An advanced learner may be granted more responsibility independent of PGY level and a learner needing more time to accomplish a certain task may do so in a focused rather than global manner.**

614

615 **IV.A.4. a broad range of structured didactic activities;** <sup>(Core)</sup>

616

617 **IV.A.4.a) Residents must be provided with protected time to participate**  
618 **in core didactic activities.** <sup>(Core)</sup>

619

**Background and Intent: It is intended that residents will participate in structured didactic activities. It is recognized that there may be circumstances in which this is not possible. Programs should define core didactic activities for which time is protected and the circumstances in which residents may be excused from these didactic activities. Didactic activities may include, but are not limited to, lectures, conferences, courses, labs, asynchronous learning, simulations, drills, case**

discussions, grand rounds, didactic teaching, and education in critical appraisal of medical evidence.

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- IV.A.5. advancement of residents' knowledge of ethical principles foundational to medical professionalism; and, <sup>(Core)</sup>
- IV.A.6. advancement in the residents' knowledge of the basic principles of scientific inquiry, including how research is designed, conducted, evaluated, explained to patients, and applied to patient care. <sup>(Core)</sup>
- IV.B. ACGME Competencies

**Background and Intent: The Competencies provide a conceptual framework describing the required domains for a trusted physician to enter autonomous practice. These Competencies are core to the practice of all physicians, although the specifics are further defined by each specialty. The developmental trajectories in each of the Competencies are articulated through the Milestones for each specialty.**

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- IV.B.1. The program must integrate the following ACGME Competencies into the curriculum: <sup>(Core)</sup>
- IV.B.1.a) Professionalism
- Residents must demonstrate a commitment to professionalism and an adherence to ethical principles. <sup>(Core)</sup>
- IV.B.1.a).(1) Residents must demonstrate competence in:
- IV.B.1.a).(1).(a) compassion, integrity, and respect for others; <sup>(Core)</sup>
- IV.B.1.a).(1).(b) responsiveness to patient needs that supersedes self-interest; <sup>(Core)</sup>

**Background and Intent: This includes the recognition that under certain circumstances, the interests of the patient may be best served by transitioning care to another provider. Examples include fatigue, conflict or duality of interest, not connecting well with a patient, or when another physician would be better for the situation based on skill set or knowledge base.**

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- IV.B.1.a).(1).(c) respect for patient privacy and autonomy; <sup>(Core)</sup>
- IV.B.1.a).(1).(d) accountability to patients, society, and the profession; <sup>(Core)</sup>
- IV.B.1.a).(1).(e) respect and responsiveness to diverse patient populations, including but not limited to diversity in gender, age, culture, race, religion, disabilities, national origin, socioeconomic status, and sexual orientation; <sup>(Core)</sup>

- 658  
659 **IV.B.1.a).(1).(f)** ability to recognize and develop a plan for one's  
660 own personal and professional well-being; and,  
661 (Core)  
662  
663 **IV.B.1.a).(1).(g)** appropriately disclosing and addressing  
664 conflict or duality of interest. (Core)  
665  
666 **IV.B.1.b)** **Patient Care and Procedural Skills**  
667

**Background and Intent:** Quality patient care is safe, effective, timely, efficient, patient-centered, equitable, and designed to improve population health, while reducing per capita costs. (See the Institute of Medicine [IOM]'s *Crossing the Quality Chasm: A New Health System for the 21st Century*, 2001 and Berwick D, Nolan T, Whittington J. *The Triple Aim: care, cost, and quality. Health Affairs. 2008; 27(3):759-769.*) In addition, there should be a focus on improving the clinician's well-being as a means to improve patient care and reduce burnout among residents, fellows, and practicing physicians.

**These organizing principles inform the Common Program Requirements across all Competency domains. Specific content is determined by the Review Committees with input from the appropriate professional societies, certifying boards, and the community.**

- 668  
669 **IV.B.1.b).(1)** **Residents must be able to provide patient care that is**  
670 **compassionate, appropriate, and effective for the**  
671 **treatment of health problems and the promotion of**  
672 **health.** (Core)  
673  
674 **IV.B.1.b).(1).(a)** Residents must demonstrate competence in  
675 fundamental clinical skills of medicine, including:  
676  
677 **IV.B.1.b).(1).(a).(i)** obtaining a comprehensive medical history;  
678 (Core)  
679  
680 **IV.B.1.b).(1).(a).(ii)** performing a comprehensive physical  
681 examination; (Core)  
682  
683 **IV.B.1.b).(1).(a).(iii)** assessing a patient's medical conditions;  
684 (Core)  
685  
686 **IV.B.1.b).(1).(a).(iv)** making appropriate use of diagnostic  
687 studies and tests; (Core)  
688  
689 **IV.B.1.b).(1).(a).(v)** integrating information to develop a  
690 differential diagnosis; and, (Core)  
691  
692 **IV.B.1.b).(1).(a).(vi)** implementing a treatment plan. (Core)  
693  
694 **IV.B.1.b).(1).(b)** Residents must demonstrate competence in  
695 anesthetic management, including care for:

696		
697	IV.B.1.b).(1).(b).(i)	patients younger than 12 years of age
698		undergoing surgery or other procedures
699		requiring anesthetics; <sup>(Core)</sup>
700		
701	IV.B.1.b).(1).(b).(i).(a)	This experience must involve care
702		for 100 patients younger than 12
703		years of age. <sup>(Core)</sup>
704		
705	IV.B.1.b).(1).(b).(i).(b)	Within this patient group, 20 children
706		must be younger than three years of
707		age, including five younger than
708		three months of age. <sup>(Core)</sup>
709		
710	IV.B.1.b).(1).(b).(ii)	patients who are evaluated for management
711		of acute, chronic, or cancer-related pain
712		disorders; <sup>(Core)</sup>
713		
714	IV.B.1.b).(1).(b).(ii).(a)	This experience must involve care
715		for 20 patients presenting for initial
716		evaluation of pain. <sup>(Core)</sup>
717		
718	IV.B.1.b).(1).(b).(ii).(b)	Residents must be familiar with the
719		breadth of pain management,
720		including clinical experience with
721		interventional pain procedures. <sup>(Core)</sup>
722		
723	IV.B.1.b).(1).(b).(iii)	patients scheduled for evaluation prior to
724		elective surgical procedures; <sup>(Core)</sup>
725		
726	IV.B.1.b).(1).(b).(iv)	patients immediately after anesthesia,
727		including direct care of patients in the post-
728		anesthesia-care unit, and responsibilities for
729		management of pain, hemodynamic
730		changes, and emergencies related to the
731		post-anesthesia care unit; and, <sup>(Core)</sup>
732		
733	IV.B.1.b).(1).(b).(v)	critically-ill patients. <sup>(Core)</sup>
734		
735	IV.B.1.b).(1).(c)	Residents must achieve competence in the delivery
736		of anesthetic care to:
737		
738	IV.B.1.b).(1).(c).(i)	patients undergoing vaginal delivery; <sup>(Core)</sup>
739		
740	IV.B.1.b).(1).(c).(i).(a)	This experience must involve care
741		for 40 patients. <sup>(Core)</sup>
742		
743	IV.B.1.b).(1).(c).(ii)	patients undergoing cesarean sections; <sup>(Core)</sup>
744		
745	IV.B.1.b).(1).(c).(ii).(a)	This experience must involve care
746		for 20 patients. <sup>(Core)</sup>

747		
748	IV.B.1.b).(1).(c).(iii)	patients undergoing cardiac surgery; <sup>(Core)</sup>
749		
750	IV.B.1.b).(1).(c).(iii).(a)	This experience must involve care
751		for 20 patients. <sup>(Core)</sup>
752		
753	IV.B.1.b).(1).(c).(iii).(b)	The care provided to 10 of these
754		patients must involve the use of
755		cardiopulmonary bypass. <sup>(Core)</sup>
756		
757	IV.B.1.b).(1).(c).(iv)	patients undergoing open or endovascular
758		procedures on major vessels, including
759		carotid surgery, intrathoracic vascular
760		surgery, intra-abdominal vascular surgery,
761		or peripheral vascular surgery; <sup>(Core)</sup>
762		
763	IV.B.1.b).(1).(c).(iv).(a)	This experience must involve care
764		for 20 patients, not including surgery
765		for vascular access or repair of
766		vascular access. <sup>(Core)</sup>
767		
768	IV.B.1.b).(1).(c).(v)	patients undergoing non-cardiac
769		intrathoracic surgery, including pulmonary
770		surgery and surgery of the great vessels,
771		esophagus, and the mediastinum and its
772		structures; <sup>(Core)</sup>
773		
774	IV.B.1.b).(1).(c).(v).(a)	This experience must involve care
775		for 20 patients. <sup>(Core)</sup>
776		
777	IV.B.1.b).(1).(c).(vi)	patients undergoing intracerebral
778		procedures, including those undergoing
779		intracerebral endovascular procedures; <sup>(Core)</sup>
780		
781	IV.B.1.b).(1).(c).(vi).(a)	This experience must involve care
782		for 20 patients, the majority of which
783		must involve an open cranium. <sup>(Core)</sup>
784		
785	IV.B.1.b).(1).(c).(vii)	patients for whom epidural anesthetics are
786		used as part of the anesthetic technique or
787		epidural catheters are placed for peri-
788		operative analgesia; <sup>(Core)</sup>
789		
790	IV.B.1.b).(1).(c).(vii).(a)	This experience must involve care
791		for 40 patients. <sup>(Core)</sup>
792		
793	IV.B.1.b).(1).(c).(viii)	patients undergoing procedures for
794		complex, immediate life-threatening
795		pathology; <sup>(Core)</sup>
796		

797	IV.B.1.b).(1).(c).(viii).(a)	This experience must involve care
798		for 20 patients. <sup>(Core)</sup>
799		
800	IV.B.1.b).(1).(c).(ix)	patients undergoing surgical procedures,
801		including cesarean sections, with spinal
802		anesthetics; <sup>(Core)</sup>
803		
804	IV.B.1.b).(1).(c).(ix).(a)	This experience must involve care
805		for 40 patients. <sup>(Core)</sup>
806		
807	IV.B.1.b).(1).(c).(x)	patients undergoing surgical procedures in
808		whom peripheral nerve blocks are used as
809		part of the anesthetic technique or peri-
810		operative analgesic management; <sup>(Core)</sup>
811		
812	IV.B.1.b).(1).(c).(x).(a)	This experience must involve care
813		for 40 patients. <sup>(Core)</sup>
814		
815	IV.B.1.b).(1).(c).(xi)	patients with acute post-operative pain,
816		including those with patient-controlled
817		intravenous techniques, neuraxial blockade,
818		and other pain-control modalities; <sup>(Core)</sup>
819		
820	IV.B.1.b).(1).(c).(xii)	patients whose peri-operative care requires
821		specialized techniques, including: <sup>(Core)</sup>
822		
823	IV.B.1.b).(1).(c).(xii).(a)	a broad spectrum of airway
824		management techniques, to include
825		laryngeal masks, fiberoptic
826		intubation, and lung isolation
827		techniques, such as double lumen
828		endotracheal tube placement and
829		endobronchial blockers; <sup>(Core)</sup>
830		
831	IV.B.1.b).(1).(c).(xii).(b)	central vein and pulmonary artery
832		catheter placement, and the use of
833		transesophageal echocardiography
834		and evoked potentials; and, <sup>(Core)</sup>
835		
836	IV.B.1.b).(1).(c).(xii).(c)	use of electroencephalography
837		(EEG) or processed EEG monitoring
838		as part of the procedure, or
839		adequate didactic instruction to
840		ensure familiarity with EEG use and
841		interpretation. <sup>(Core)</sup>
842		
843	IV.B.1.b).(1).(c).(xiii)	patients undergoing a variety of diagnostic
844		or therapeutic procedures outside the
845		surgical suite. <sup>(Core)</sup>
846		
847		This must include competency in:



848		
849	IV.B.1.b).(1).(c).(xiii).(a)	using surface ultrasound and
850		transesophageal and transthoracic
851		echocardiography to guide the
852		performance of invasive procedures
853		and to evaluate organ function and
854		pathology as related to anesthesia,
855		critical care, and resuscitation; <sup>(Core)</sup>
856		
857	IV.B.1.b).(1).(c).(xiii).(b)	understanding the principles of
858		ultrasound, including the physics of
859		ultrasound transmission, ultrasound
860		transducer construction, and
861		transducer selection for specific
862		applications, to include being able to
863		obtain images with an understanding
864		of limitations and artifacts; <sup>(Core)</sup>
865		
866	IV.B.1.b).(1).(c).(xiii).(c)	obtaining standard views of the heart
867		and inferior vena cava with
868		transthoracic echocardiography
869		allowing the evaluation of myocardial
870		function, estimation of central
871		venous pressure, and gross
872		pericardial/cardiac pathology (e.g.,
873		large pericardial effusion); <sup>(Core)</sup>
874		
875	IV.B.1.b).(1).(c).(xiii).(d)	obtaining standard views of the heart
876		with transesophageal
877		echocardiography allowing the
878		evaluation of myocardial function
879		and gross pericardial/cardiac
880		pathology (e.g., large pericardial
881		effusion); <sup>(Core)</sup>
882		
883	IV.B.1.b).(1).(c).(xiii).(e)	using transthoracic ultrasound for
884		the detection of pneumothorax and
885		pleural effusion; <sup>(Core)</sup>
886		
887	IV.B.1.b).(1).(c).(xiii).(f)	using surface ultrasound to guide
888		vascular access (both central and
889		peripheral) and to guide regional
890		anesthesia procedures; and, <sup>(Core)</sup>
891		
892	IV.B.1.b).(1).(c).(xiii).(g)	describing techniques, views, and
893		findings in standard language. <sup>(Core)</sup>
894		
895	<b>IV.B.1.b).(2)</b>	<b>Residents must be able to perform all medical,</b>
896		<b>diagnostic, and surgical procedures considered</b>
897		<b>essential for the area of practice. <sup>(Core)</sup></b>
898		

899	<b>IV.B.1.c)</b>	<b>Medical Knowledge</b>
900		
901		<b>Residents must demonstrate knowledge of established and</b>
902		<b>evolving biomedical, clinical, epidemiological and social-</b>
903		<b>behavioral sciences, as well as the application of this</b>
904		<b>knowledge to patient care.</b> <sup>(Core)</sup>
905		
906	IV.B.1.c).(1)	Residents must demonstrate appropriate medical
907		knowledge in the topics related to the anesthetic care of
908		patients, including:
909		
910	IV.B.1.c).(1).(a)	practice management to address issues such as:
911		<sup>(Core)</sup>
912		
913	IV.B.1.c).(1).(a).(i)	operating room management; <sup>(Core)</sup>
914		
915	IV.B.1.c).(1).(a).(ii)	evaluation of types of practice; <sup>(Core)</sup>
916		
917	IV.B.1.c).(1).(a).(iii)	contract negotiations; <sup>(Core)</sup>
918		
919	IV.B.1.c).(1).(a).(iv)	billing arrangements; <sup>(Core)</sup>
920		
921	IV.B.1.c).(1).(a).(v)	professional liability; <sup>(Core)</sup>
922		
923	IV.B.1.c).(1).(a).(vi)	health care finance, legislative, and
924		regulatory issues; and, <sup>(Core)</sup>
925		
926	IV.B.1.c).(1).(a).(vii)	fiscal stewardship of health services
927		delivery. <sup>(Core)</sup>
928		
929	IV.B.1.c).(1).(b)	management skills, to include basic knowledge of
930		organizational culture, decision making, change
931		management, conflict resolution, and negotiation
932		and advocacy; <sup>(Core)</sup>
933		
934	IV.B.1.c).(1).(c)	care of the patient in the continuum of the peri-
935		operative period, to include collaboration with
936		medical and surgical colleagues to:
937		
938	IV.B.1.c).(1).(c).(i)	optimize preoperative patient condition; and,
939		<sup>(Core)</sup>
940		
941	IV.B.1.c).(1).(c).(ii)	optimize recovery; <sup>(Core)</sup>
942		
943	IV.B.1.c).(1).(d)	management of the specific needs of patients
944		undergoing diagnostic or therapeutic procedures
945		outside of the surgical suite. <sup>(Core)</sup>
946		
947	<b>IV.B.1.d)</b>	<b>Practice-based Learning and Improvement</b>
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Residents must demonstrate the ability to investigate and evaluate their care of patients, to appraise and assimilate scientific evidence, and to continuously improve patient care based on constant self-evaluation and lifelong learning. <sup>(Core)</sup>

**Background and Intent:** Practice-based learning and improvement is one of the defining characteristics of being a physician. It is the ability to investigate and evaluate the care of patients, to appraise and assimilate scientific evidence, and to continuously improve patient care based on constant self-evaluation and lifelong learning.

The intention of this Competency is to help a physician develop the habits of mind required to continuously pursue quality improvement, well past the completion of residency.

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- IV.B.1.d).(1)** Residents must demonstrate competence in:
- IV.B.1.d).(1).(a)** identifying strengths, deficiencies, and limits in one’s knowledge and expertise; <sup>(Core)</sup>
  - IV.B.1.d).(1).(b)** setting learning and improvement goals; <sup>(Core)</sup>
  - IV.B.1.d).(1).(c)** identifying and performing appropriate learning activities; <sup>(Core)</sup>
  - IV.B.1.d).(1).(d)** systematically analyzing practice using quality improvement methods, and implementing changes with the goal of practice improvement; <sup>(Core)</sup>
  - IV.B.1.d).(1).(e)** incorporating feedback and formative evaluation into daily practice; <sup>(Core)</sup>
  - IV.B.1.d).(1).(f)** locating, appraising, and assimilating evidence from scientific studies related to their patients’ health problems; and, <sup>(Core)</sup>
  - IV.B.1.d).(1).(g)** using information technology to optimize learning. <sup>(Core)</sup>
- IV.B.1.e)** **Interpersonal and Communication Skills**
- Residents must demonstrate interpersonal and communication skills that result in the effective exchange of information and collaboration with patients, their families, and health professionals. <sup>(Core)</sup>
- IV.B.1.e).(1)** Residents must demonstrate competence in:
- IV.B.1.e).(1).(a)** communicating effectively with patients, families, and the public, as appropriate, across

991		<b>a broad range of socioeconomic and cultural backgrounds;</b> <small>(Core)</small>
992		
993		
994	<b>IV.B.1.e).(1).(b)</b>	<b>communicating effectively with physicians, other health professionals, and health-related agencies;</b> <small>(Core)</small>
995		
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998	<b>IV.B.1.e).(1).(c)</b>	<b>working effectively as a member or leader of a health care team or other professional group;</b> <small>(Core)</small>
999		
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1001		
1002	<b>IV.B.1.e).(1).(d)</b>	<b>educating patients, families, students, residents, and other health professionals;</b> <small>(Core)</small>
1003		
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1005	<b>IV.B.1.e).(1).(e)</b>	<b>acting in a consultative role to other physicians and health professionals;</b> <small>(Core)</small>
1006		
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1008	<b>IV.B.1.e).(1).(f)</b>	<b>maintaining comprehensive, timely, and legible medical records, if applicable;</b> <small>(Core)</small>
1009		
1010		
1011	<b>IV.B.1.e).(1).(g)</b>	<b>maintaining a comprehensive anesthesia record for each patient, including evidence of pre- and post-operative anesthesia assessment, the drugs administered, the monitoring employed, the techniques used, the physiologic variations observed, the therapy provided, and the fluids administered; and,</b> <small>(Core)</small>
1012		
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1019	<b>IV.B.1.e).(1).(h)</b>	<b>creating and sustaining a therapeutic relationship with patients, engaging in active listening, providing information using appropriate language, asking clear questions, and providing an opportunity for comments and questions.</b> <small>(Core)</small>
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1025	<b>IV.B.1.e).(2)</b>	<b>Residents must learn to communicate with patients and families to partner with them to assess their care goals, including, when appropriate, end-of-life goals.</b> <small>(Core)</small>
1026		
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1029		

**Background and Intent: When there are no more medications or interventions that can achieve a patient’s goals or provide meaningful improvements in quality or length of life, a discussion about the patient’s goals, values, and choices surrounding the end of life is one of the most important conversations that can occur. Residents must learn to participate effectively and compassionately in these meaningful human interactions, for the sake of their patients and themselves.**

**Programs may teach this skill through direct clinical experience, simulation, or other means of active learning.**

1030		
1031	<b>IV.B.1.f)</b>	<b>Systems-based Practice</b>
1032		

1033 Residents must demonstrate an awareness of and  
1034 responsiveness to the larger context and system of health  
1035 care, including the social determinants of health, as well as  
1036 the ability to call effectively on other resources to provide  
1037 optimal health care. <sup>(Core)</sup>  
1038

1039 **IV.B.1.f).(1)** Residents must demonstrate competence in:

1040  
1041 **IV.B.1.f).(1).(a)** working effectively in various health care  
1042 delivery settings and systems relevant to their  
1043 clinical specialty; <sup>(Core)</sup>  
1044

**Background and Intent: Medical practice occurs in the context of an increasingly complex clinical care environment where optimal patient care requires attention to compliance with external and internal administrative and regulatory requirements.**

1045  
1046 **IV.B.1.f).(1).(b)** coordinating patient care across the health care  
1047 continuum and beyond as relevant to their  
1048 clinical specialty; <sup>(Core)</sup>  
1049

**Background and Intent: Every patient deserves to be treated as a whole person. Therefore it is recognized that any one component of the health care system does not meet the totality of the patient's needs. An appropriate transition plan requires coordination and forethought by an interdisciplinary team. The patient benefits from proper care and the system benefits from proper use of resources.**

1050  
1051 **IV.B.1.f).(1).(c)** advocating for quality patient care and optimal  
1052 patient care systems; <sup>(Core)</sup>  
1053

1054 **IV.B.1.f).(1).(d)** working in interprofessional teams to enhance  
1055 patient safety and improve patient care quality;  
1056 <sup>(Core)</sup>  
1057

1058 **IV.B.1.f).(1).(e)** participating in identifying system errors and  
1059 implementing potential systems solutions; <sup>(Core)</sup>  
1060

1061 **IV.B.1.f).(1).(f)** incorporating considerations of value, cost  
1062 awareness, delivery and payment, and risk-  
1063 benefit analysis in patient and/or population-  
1064 based care as appropriate; and, <sup>(Core)</sup>  
1065

1066 **IV.B.1.f).(1).(g)** understanding health care finances and its  
1067 impact on individual patients' health decisions.  
1068 <sup>(Core)</sup>  
1069

1070 **IV.B.1.f).(2)** Residents must learn to advocate for patients within  
1071 the health care system to achieve the patient's and  
1072 family's care goals, including, when appropriate, end-  
1073 of-life goals. <sup>(Core)</sup>  
1074

1075 **IV.C. Curriculum Organization and Resident Experiences**

1076  
1077 **IV.C.1. The curriculum must be structured to optimize resident educational**  
1078 **experiences, the length of these experiences, and supervisory**  
1079 **continuity.** (Core)

1080  
1081 IV.C.1.a) Assignment of rotations must be of sufficient length to provide a  
1082 quality educational experience, defined by continuity of patient  
1083 care, ongoing supervision, longitudinal relationships with faculty  
1084 members, and meaningful assessment and feedback, or as  
1085 otherwise specified in the specialty-specific Program  
1086 Requirements. (Core)

1087  
1088 IV.C.1.b) Clinical experiences should be structured to facilitate learning in a  
1089 manner that allows residents to function as part of an effective  
1090 interprofessional team that works together longitudinally with  
1091 shared goals of patient safety and quality improvement. (Core)

**Background and Intent: In some specialties, frequent rotational transitions, inadequate continuity of faculty member supervision, and dispersed patient locations within the hospital have adversely affected optimal resident education and effective team-based care. The need for patient care continuity varies from specialty to specialty and by clinical situation, and may be addressed by the individual Review Committee.**

1093  
1094 **IV.C.2. The program must provide instruction and experience in pain**  
1095 **management if applicable for the specialty, including recognition of**  
1096 **the signs of addiction.** (Core)

1097  
1098 IV.C.2.a) The program must have a written policy and an educational  
1099 program regarding substance abuse as it relates to physician well-  
1100 being that specifically addresses the needs of anesthesiology. (Core)

1101  
1102 IV.C.3. Twelve months of the resident's educational program must provide broad  
1103 education in fundamental clinical skills of medicine relevant to the practice  
1104 of anesthesiology. (Core)

1105  
1106 IV.C.3.a) Fundamental clinical skills of medicine education completed as  
1107 part of an anesthesiology residency need not be contiguous, but  
1108 must be completed before starting the final year of the program.  
1109 (Core)

1110  
1111 IV.C.3.b) At least six months of fundamental clinical skills of medicine  
1112 education must include experience in caring for inpatients in family  
1113 medicine, internal medicine, neurology, obstetrics and  
1114 gynecology, pediatrics, surgery or any of the surgical specialties,  
1115 or any combination of these. (Core)

1116  
1117 IV.C.3.c) The program director must maintain oversight of resident  
1118 education in fundamental clinical skills of medicine. (Core)

1119

1120	IV.C.4.	During the first 12 months of the program, there must be at least one
1121		month, but not more than two month(s) each of critical care and
1122		emergency medicine. <sup>(Core)</sup>
1123		
1124	IV.C.5.	Thirty-six months of education must be in peri-operative medicine. <sup>(Core)</sup>
1125		
1126	IV.C.5.a)	This must include experience with a wide spectrum of disease
1127		processes and surgical procedures available within the CA-1
1128		through CA-3 years to provide each resident with broad exposure
1129		to different types of anesthetic management. <sup>(Core)</sup>
1130		
1131	IV.C.5.b)	The program must ensure that the rotations for residents
1132		beginning the peri-operative medicine component of the residency
1133		be in surgical anesthesia, critical care medicine, and pain
1134		medicine. <sup>(Core)</sup>
1135		
1136	IV.C.5.c)	Residents must receive training in the complex technology and
1137		equipment associated with the practice of anesthesiology. <sup>(Core)</sup>
1138		
1139	IV.C.5.d)	Clinical experience in surgical anesthesia, pain medicine, and
1140		critical care medicine must be distributed throughout the
1141		curriculum in order to provide progressive responsibility in the later
1142		stages of the program. <sup>(Core)</sup>
1143		
1144	IV.C.6.	Residents must have a rotation of at least two weeks in pre-operative
1145		medicine. <sup>(Core)</sup>
1146		
1147	IV.C.7.	Residents must have a rotation of at least two weeks in post-anesthesia
1148		care. <sup>(Core)</sup>
1149		
1150	IV.C.7.a)	Resident clinical responsibilities in the post-operative care unit
1151		must be limited to the care of post-operative patients, with the
1152		exception of providing emergency response capability for cardiac
1153		arrests and rapid response situations within the facility. <sup>(Core)</sup>
1154		
1155	IV.C.8.	Resident education must include a minimum of <del>four months of</del> <u>four one-</u>
1156		<u>month rotations in</u> critical care medicine. <sup>(Core)</sup>
1157		
1158	IV.C.8.a)	No more than two months of this experience should occur prior to
1159		the CA-1 year. <sup>(Core)</sup>
1160		
1161	IV.C.8.b)	Each critical care medicine rotation must be at least one month in
1162		duration, with progressive patient care responsibility in advanced
1163		rotations. <sup>(Core)</sup>
1164		
1165	IV.C.8.c)	Training must take place in units, providing care for both men and
1166		women, in which the majority of patients have multisystem
1167		disease. <sup>(Core)</sup>
1168		
1169	IV.C.8.d)	Residents must actively participate in all patient care activities as
1170		fully integrated members of the critical care team. <sup>(Core)</sup>

1171		
1172	IV.C.8.e)	During at least two of the required four months of critical care
1173		medicine, faculty anesthesiologists experienced in the practice
1174		and teaching of critical care must be actively involved in the care
1175		of the critically-ill patients seen by residents, and in the
1176		educational activities of the residents. <sup>(Core)</sup>
1177		
1178	IV.C.9.	Resident education must include a minimum of two one-month rotations
1179		each in obstetric anesthesia, pediatric anesthesia, neuroanesthesia, and
1180		cardiothoracic anesthesia. <sup>(Core)</sup>
1181		
1182	IV.C.9.a)	Additional subspecialty and research rotations are encouraged,
1183		but resident rotations in a single anesthesia subspecialty must not
1184		exceed six months. <sup>(Detail)</sup>
1185		
1186	IV.C.9.b)	Advanced subspecialty rotations must not compromise the
1187		learning opportunities for residents participating in their initial
1188		subspecialty rotations. <sup>(Core)</sup>
1189		
1190	IV.C.10.	Resident education must include a minimum of three months in pain
1191		medicine, including: <sup>(Core)</sup>
1192		
1193	IV.C.10.a)	one month in an acute peri-operative pain management rotation;
1194		<sup>(Core)</sup>
1195		
1196	IV.C.10.b)	one month in a rotation for the assessment and treatment of
1197		inpatients and outpatients with chronic pain; and, <sup>(Core)</sup>
1198		
1199	IV.C.10.c)	one month of a regional analgesia experience rotation. <sup>(Core)</sup>
1200		
1201	IV.C.11.	Residents must have at least two weeks of experience managing the
1202		anesthetic care of patients undergoing diagnostic or therapeutic
1203		procedures outside of the surgical suite. <sup>(Core)</sup>
1204		
1205	IV.C.12.	In the clinical anesthesia setting, faculty members must not direct
1206		anesthesia at more than two anesthetizing locations simultaneously when
1207		supervising residents. <sup>(Core)</sup>
1208		
1209	IV.C.12.a)	Clinical instruction of residents by non-physician personnel should
1210		be limited to not more than 10 percent of total instruction, and
1211		should use such personnel only when access to their specific
1212		expertise will enhance the educational experience of residents.
1213		<sup>(Detail)</sup>
1214		
1215	IV.C.13.	All residents must obtain advanced cardiac life support (ACLS)
1216		certification at least once during the program. <sup>(Core)</sup>
1217		
1218	IV.C.14.	Residents must participate in at least one simulated clinical experience
1219		each year. <sup>(Core)</sup>
1220		



1221	IV.C.15.	The program director must ensure regular review of the residents' clinical experience logs and verify their accuracy and completeness when they are transmitted to the Review Committee. <sup>(Core)</sup>
1222		
1223		
1224		
1225	IV.C.15.a)	The program director must ensure that experience logs are submitted annually to the Review Committee in accordance with the format and the due date specified by the Committee. <sup>(Core)</sup>
1226		
1227		
1228		
1229	IV.C.16.	The program director must determine sequencing of rotations. <sup>(Detail)</sup>
1230		
1231	IV.C.17.	The program director must monitor the appropriate distribution of cases among the residents. <sup>(Core)</sup>
1232		
1233		
1234	IV.C.18.	The program director must ensure that service commitments do not compromise the achievement of educational goals and objectives. <sup>(Core)</sup>
1235		
1236		
1237	IV.C.19.	The curriculum must contain didactic instruction through a variety of learning opportunities occurring in conference, in the clinical setting or online that encompasses clinical anesthesiology and related areas of basic science. <sup>(Core)</sup>
1238		
1239		
1240		
1241		
1242	IV.C.20.	Other topics from internal medicine that are important for the pre-operative preparation of the patient, from surgery as to the nature of the surgical procedure affecting anesthetic care, and from obstetrics that impacts anesthetic management of the patient, should be included. <sup>(Core)</sup>
1243		
1244		
1245		
1246		
1247	IV.C.20.a)	The material covered in the didactic program must demonstrate appropriate continuity and sequencing to ensure that residents are ultimately exposed to all subjects at regularly held learning exercises. <sup>(Core)</sup>
1248		
1249		
1250		
1251		
1252	IV.C.20.a).(1)	There should be evidence of regular faculty member participation in didactic sessions. <sup>(Detail)</sup>
1253		
1254		
1255	IV.C.20.a).(2)	The program director and faculty members from other disciplines and other institutions should conduct these sessions. <sup>(Detail)</sup>
1256		
1257		
1258		
1259	IV.C.21.	When 12 months of education in fundamental clinical skills of medicine is approved as part of the accredited program, the program director must maintain oversight for all rotations, and must approve the rotations for individual residents. <sup>(Core)</sup>
1260		
1261		
1262		
1263		
1264	IV.C.22.	The program director must review written resident performance evaluations from each clinical service on which each resident rotates on a quarterly basis. <sup>(Core)</sup>
1265		
1266		
1267		
1268	IV.C.23.	The education must culminate in sufficiently independent responsibility for clinical decision-making and patient care, so that the graduating resident exhibits sound clinical judgment in a wide variety of clinical situations and can function as a leader of peri-operative care teams. <sup>(Core)</sup>
1269		
1270		
1271		

- 1272  
1273 IV.C.24. As the resident advances through the program, goals and objectives must  
1274 reflect the opportunity to learn to plan and administer anesthesia care for  
1275 patients with more severe and complicated diseases, as well as for  
1276 patients who undergo more complex surgical procedures. <sup>(Core)</sup>  
1277
- 1278 IV.C.25. International rotations should be limited to the final year of training and  
1279 should be limited to three months or less. <sup>(Detail)</sup>  
1280
- 1281 IV.C.25.a) International rotations must be approved by the Review  
1282 Committee through a written request submitted by the program  
1283 director. <sup>(Detail)</sup>  
1284
- 1285 **IV.D. Scholarship**  
1286
- 1287 ***Medicine is both an art and a science. The physician is a humanistic***  
1288 ***scientist who cares for patients. This requires the ability to think critically,***  
1289 ***evaluate the literature, appropriately assimilate new knowledge, and***  
1290 ***practice lifelong learning. The program and faculty must create an***  
1291 ***environment that fosters the acquisition of such skills through resident***  
1292 ***participation in scholarly activities. Scholarly activities may include***  
1293 ***discovery, integration, application, and teaching.***  
1294
- 1295 ***The ACGME recognizes the diversity of residencies and anticipates that***  
1296 ***programs prepare physicians for a variety of roles, including clinicians,***  
1297 ***scientists, and educators. It is expected that the program's scholarship will***  
1298 ***reflect its mission(s) and aims, and the needs of the community it serves.***  
1299 ***For example, some programs may concentrate their scholarly activity on***  
1300 ***quality improvement, population health, and/or teaching, while other***  
1301 ***programs might choose to utilize more classic forms of biomedical***  
1302 ***research as the focus for scholarship.***  
1303
- 1304 **IV.D.1. Program Responsibilities**  
1305
- 1306 **IV.D.1.a) The program must demonstrate evidence of scholarly**  
1307 **activities consistent with its mission(s) and aims. <sup>(Core)</sup>**  
1308
- 1309 **IV.D.1.b) The program, in partnership with its Sponsoring Institution,**  
1310 **must allocate adequate resources to facilitate resident and**  
1311 **faculty involvement in scholarly activities. <sup>(Core)</sup>**  
1312
- 1313 **IV.D.1.c) The program must advance residents' knowledge and**  
1314 **practice of the scholarly approach to evidence-based patient**  
1315 **care. <sup>(Core)</sup>**  
1316

**Background and Intent: The scholarly approach can be defined as a synthesis of teaching, learning, and research with the aim of encouraging curiosity and critical thinking based on an understanding of physiology, pathophysiology, differential diagnosis, treatments, treatment alternatives, efficiency of care, and patient safety. While some faculty members are responsible for fulfilling the traditional elements of**

**scholarship through research, integration, and teaching, all faculty members are responsible for advancing residents' scholarly approach to patient care.**

**Elements of a scholarly approach to patient care include:**

- **Asking meaningful questions to stimulate residents to utilize learning resources to create a differential diagnosis, a diagnostic algorithm, and treatment plan**
- **Challenging the evidence that the residents use to reach their medical decisions so that they understand the benefits and limits of the medical literature**
- **When appropriate, dissemination of scholarly learning in a peer-reviewed manner (publication or presentation)**
- **Improving resident learning by encouraging them to teach using a scholarly approach**

**The scholarly approach to patient care begins with curiosity, is grounded in the principles of evidence-based medicine, expands the knowledge base through dissemination, and develops the habits of lifelong learning by encouraging residents to be scholarly teachers.**

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**IV.D.2. Faculty Scholarly Activity**

**IV.D.2.a) Among their scholarly activity, programs must demonstrate accomplishments in at least three of the following domains:  
(Core)**

- **Research in basic science, education, translational science, patient care, or population health**
- **Peer-reviewed grants**
- **Quality improvement and/or patient safety initiatives**
- **Systematic reviews, meta-analyses, review articles, chapters in medical textbooks, or case reports**
- **Creation of curricula, evaluation tools, didactic educational activities, or electronic educational materials**
- **Contribution to professional committees, educational organizations, or editorial boards**
- **Innovations in education**

**IV.D.2.b) The program must demonstrate dissemination of scholarly activity within and external to the program by the following methods:**

**Background and Intent: For the purposes of education, metrics of scholarly activity represent one of the surrogates for the program's effectiveness in the creation of an environment of inquiry that advances the residents' scholarly approach to patient care. The Review Committee will evaluate the dissemination of scholarship for the program as a whole, not for individual faculty members, for a five-year interval, for both core and non-core faculty members, with the goal of assessing the effectiveness of the creation of such an environment. The ACGME recognizes that there may be differences in scholarship requirements between different specialties and between residencies and fellowships in the same specialty.**

1341		
1342	<b>IV.D.2.b).(1)</b>	<b>faculty participation in grand rounds, posters, workshops, quality improvement presentations, podium presentations, grant leadership, non-peer-reviewed print/electronic resources, articles or publications, book chapters, textbooks, webinars, service on professional committees, or serving as a journal reviewer, journal editorial board member, or editor;</b> <small>(Outcome)‡</small>
1343		
1344		
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1349		
1350		
1351	<b>IV.D.2.b).(2)</b>	<b>peer-reviewed publication.</b> <small>(Outcome)</small>
1352		
1353	<b>IV.D.3.</b>	<b>Resident Scholarly Activity</b>
1354		
1355	<b>IV.D.3.a)</b>	<b>Residents must participate in scholarship.</b> <small>(Core)</small>
1356		
1357	IV.D.3.b)	Each resident must complete, under faculty member supervision, an academic assignment. <small>(Core)</small>
1358		
1359		
1360	IV.D.3.b).(1)	Academic assignments should include grand rounds presentations; preparation and publication of review articles, book chapters, manuals for teaching or clinical practice; or development, performance, or participation in one or more clinical or laboratory investigations. <small>(Detail)</small>
1361		
1362		
1363		
1364		
1365		
1366	IV.D.3.b).(1).(a)	The outcome of resident investigations should be suitable for presentation at local, regional, or national scientific meetings, and/or result in peer-reviewed abstracts or manuscripts. <small>(Detail)</small>
1367		
1368		
1369		
1370		
1371	<b>V. Evaluation</b>	
1372		
1373	<b>V.A. Resident Evaluation</b>	
1374		
1375	<b>V.A.1. Feedback and Evaluation</b>	
1376		

**Background and Intent: Feedback is ongoing information provided regarding aspects of one’s performance, knowledge, or understanding. The faculty empower residents to provide much of that feedback themselves in a spirit of continuous learning and self-reflection. Feedback from faculty members in the context of routine clinical care should be frequent, and need not always be formally documented.**

**Formative and summative evaluation have distinct definitions. Formative evaluation is *monitoring resident learning* and providing ongoing feedback that can be used by residents to improve their learning in the context of provision of patient care or other educational opportunities. More specifically, formative evaluations help:**

- **residents identify their strengths and weaknesses and target areas that need work**
- **program directors and faculty members recognize where residents are struggling and address problems immediately**

Summative evaluation is *evaluating a resident's learning* by comparing the residents against the goals and objectives of the rotation and program, respectively. Summative evaluation is utilized to make decisions about promotion to the next level of training, or program completion.

End-of-rotation and end-of-year evaluations have both summative and formative components. Information from a summative evaluation can be used formatively when residents or faculty members use it to guide their efforts and activities in subsequent rotations and to successfully complete the residency program.

Feedback, formative evaluation, and summative evaluation compare intentions with accomplishments, enabling the transformation of a neophyte physician to one with growing expertise.

- 1377  
1378 V.A.1.a) Faculty members must directly observe, evaluate, and  
1379 frequently provide feedback on resident performance during  
1380 each rotation or similar educational assignment. <sup>(Core)</sup>  
1381

Background and Intent: Faculty members should provide feedback frequently throughout the course of each rotation. Residents require feedback from faculty members to reinforce well-performed duties and tasks, as well as to correct deficiencies. This feedback will allow for the development of the learner as they strive to achieve the Milestones. More frequent feedback is strongly encouraged for residents who have deficiencies that may result in a poor final rotation evaluation.

- 1382  
1383 V.A.1.b) Evaluation must be documented at the completion of the  
1384 assignment. <sup>(Core)</sup>  
1385  
1386 V.A.1.b).(1) For block rotations of greater than three months in  
1387 duration, evaluation must be documented at least  
1388 every three months. <sup>(Core)</sup>  
1389  
1390 V.A.1.b).(2) Longitudinal experiences, such as continuity clinic in  
1391 the context of other clinical responsibilities, must be  
1392 evaluated at least every three months and at  
1393 completion. <sup>(Core)</sup>  
1394  
1395 V.A.1.c) The program must provide an objective performance  
1396 evaluation based on the Competencies and the specialty-  
1397 specific Milestones, and must: <sup>(Core)</sup>  
1398  
1399 V.A.1.c).(1) use multiple evaluators (e.g., faculty members, peers,  
1400 patients, self, and other professional staff members);  
1401 and, <sup>(Core)</sup>  
1402  
1403 V.A.1.c).(2) provide that information to the Clinical Competency  
1404 Committee for its synthesis of progressive resident  
1405 performance and improvement toward unsupervised  
1406 practice. <sup>(Core)</sup>  
1407

- 1408 V.A.1.d) The program director or their designee, with input from the  
 1409 Clinical Competency Committee, must:  
 1410  
 1411 V.A.1.d).(1) meet with and review with each resident their  
 1412 documented semi-annual evaluation of performance,  
 1413 including progress along the specialty-specific  
 1414 Milestones; <sup>(Core)</sup>  
 1415  
 1416 V.A.1.d).(2) assist residents in developing individualized learning  
 1417 plans to capitalize on their strengths and identify areas  
 1418 for growth; and, <sup>(Core)</sup>  
 1419  
 1420 V.A.1.d).(3) develop plans for residents failing to progress,  
 1421 following institutional policies and procedures. <sup>(Core)</sup>  
 1422

**Background and Intent:** Learning is an active process that requires effort from the teacher and the learner. Faculty members evaluate a resident's performance at least at the end of each rotation. The program director or their designee will review those evaluations, including their progress on the Milestones, at a minimum of every six months. Residents should be encouraged to reflect upon the evaluation, using the information to reinforce well-performed tasks or knowledge or to modify deficiencies in knowledge or practice. Working together with the faculty members, residents should develop an individualized learning plan.

Residents who are experiencing difficulties with achieving progress along the Milestones may require intervention to address specific deficiencies. Such intervention, documented in an individual remediation plan developed by the program director or a faculty mentor and the resident, will take a variety of forms based on the specific learning needs of the resident. However, the ACGME recognizes that there are situations which require more significant intervention that may alter the time course of resident progression. To ensure due process, it is essential that the program director follow institutional policies and procedures.

- 1423  
 1424 V.A.1.e) At least annually, there must be a summative evaluation of  
 1425 each resident that includes their readiness to progress to the  
 1426 next year of the program, if applicable. <sup>(Core)</sup>  
 1427  
 1428 V.A.1.f) The evaluations of a resident's performance must be  
 1429 accessible for review by the resident. <sup>(Core)</sup>  
 1430  
 1431 V.A.2. Final Evaluation  
 1432  
 1433 V.A.2.a) The program director must provide a final evaluation for each  
 1434 resident upon completion of the program. <sup>(Core)</sup>  
 1435  
 1436 V.A.2.a).(1) The specialty-specific Milestones, and when applicable  
 1437 the specialty-specific Case Logs, must be used as  
 1438 tools to ensure residents are able to engage in  
 1439 autonomous practice upon completion of the program.  
 1440 <sup>(Core)</sup>  
 1441

- 1442 V.A.2.a).(2) The final evaluation must:  
 1443  
 1444 V.A.2.a).(2).(a) become part of the resident’s permanent record  
 1445 maintained by the institution, and must be  
 1446 accessible for review by the resident in  
 1447 accordance with institutional policy; <sup>(Core)</sup>  
 1448  
 1449 V.A.2.a).(2).(b) verify that the resident has demonstrated the  
 1450 knowledge, skills, and behaviors necessary to  
 1451 enter autonomous practice; <sup>(Core)</sup>  
 1452  
 1453 V.A.2.a).(2).(c) consider recommendations from the Clinical  
 1454 Competency Committee; and, <sup>(Core)</sup>  
 1455  
 1456 V.A.2.a).(2).(d) be shared with the resident upon completion of  
 1457 the program. <sup>(Core)</sup>  
 1458  
 1459 V.A.3. A Clinical Competency Committee must be appointed by the  
 1460 program director. <sup>(Core)</sup>  
 1461  
 1462 V.A.3.a) At a minimum, the Clinical Competency Committee must  
 1463 include three members of the program faculty, at least one of  
 1464 whom is a core faculty member. <sup>(Core)</sup>  
 1465  
 1466 V.A.3.a).(1) Additional members must be faculty members from  
 1467 the same program or other programs, or other health  
 1468 professionals who have extensive contact and  
 1469 experience with the program’s residents. <sup>(Core)</sup>  
 1470

**Background and Intent:** The requirements regarding the Clinical Competency Committee do not preclude or limit a program director’s participation on the Clinical Competency Committee. The intent is to leave flexibility for each program to decide the best structure for its own circumstances, but a program should consider: its program director’s other roles as resident advocate, advisor, and confidante; the impact of the program director’s presence on the other Clinical Competency Committee members’ discussions and decisions; the size of the program faculty; and other program-relevant factors. The program director has final responsibility for resident evaluation and promotion decisions.

Program faculty may include more than the physician faculty members, such as other physicians and non-physicians who teach and evaluate the program’s residents. There may be additional members of the Clinical Competency Committee. Chief residents who have completed core residency programs in their specialty may be members of the Clinical Competency Committee.

- 1471  
 1472 V.A.3.b) The Clinical Competency Committee must:  
 1473  
 1474 V.A.3.b).(1) review all resident evaluations at least semi-annually;  
 1475 <sup>(Core)</sup>  
 1476

1477 V.A.3.b).(2) determine each resident’s progress on achievement of  
1478 the specialty-specific Milestones; and, (Core)

1479  
1480 V.A.3.b).(3) meet prior to the residents’ semi-annual evaluations  
1481 and advise the program director regarding each  
1482 resident’s progress. (Core)

1483  
1484 V.B. Faculty Evaluation

1485  
1486 V.B.1. The program must have a process to evaluate each faculty  
1487 member’s performance as it relates to the educational program at  
1488 least annually. (Core)

**Background and Intent: The program director is responsible for the education program and for whom delivers it. While the term “faculty” may be applied to physicians within a given institution for other reasons, it is applied to residency program faculty members only through approval by a program director. The development of the faculty improves the education, clinical, and research aspects of a program. Faculty members have a strong commitment to the resident and desire to provide optimal education and work opportunities. Faculty members must be provided feedback on their contribution to the mission of the program. All faculty members who interact with residents desire feedback on their education, clinical care, and research. If a faculty member does not interact with residents, feedback is not required. With regard to the diverse operating environments and configurations, the residency program director may need to work with others to determine the effectiveness of the program’s faculty performance with regard to their role in the educational program. All teaching faculty members should have their educational efforts evaluated by the residents in a confidential and anonymous manner. Other aspects for the feedback may include research or clinical productivity, review of patient outcomes, or peer review of scholarly activity. The process should reflect the local environment and identify the necessary information. The feedback from the various sources should be summarized and provided to the faculty on an annual basis by a member of the leadership team of the program.**

1490  
1491 V.B.1.a) This evaluation must include a review of the faculty member’s  
1492 clinical teaching abilities, engagement with the educational  
1493 program, participation in faculty development related to their  
1494 skills as an educator, clinical performance, professionalism,  
1495 and scholarly activities. (Core)

1496  
1497 V.B.1.b) This evaluation must include written, anonymous, and  
1498 confidential evaluations by the residents. (Core)

1499  
1500 V.B.2. Faculty members must receive feedback on their evaluations at least  
1501 annually. (Core)

1502  
1503 V.B.3. Results of the faculty educational evaluations should be  
1504 incorporated into program-wide faculty development plans. (Core)

**Background and Intent: The quality of the faculty’s teaching and clinical care is a determinant of the quality of the program and the quality of the residents’ future clinical care. Therefore, the program has the responsibility to evaluate and improve the**



**program faculty members' teaching, scholarship, professionalism, and quality care. This section mandates annual review of the program's faculty members for this purpose, and can be used as input into the Annual Program Evaluation.**

- 1506  
1507 **V.C. Program Evaluation and Improvement**  
1508  
1509 **V.C.1. The program director must appoint the Program Evaluation**  
1510 **Committee to conduct and document the Annual Program**  
1511 **Evaluation as part of the program's continuous improvement**  
1512 **process. (Core)**  
1513  
1514 **V.C.1.a) The Program Evaluation Committee must be composed of at**  
1515 **least two program faculty members, at least one of whom is a**  
1516 **core faculty member, and at least one resident. (Core)**  
1517  
1518 **V.C.1.b) Program Evaluation Committee responsibilities must include:**  
1519  
1520 **V.C.1.b).(1) acting as an advisor to the program director, through**  
1521 **program oversight; (Core)**  
1522  
1523 **V.C.1.b).(2) review of the program's self-determined goals and**  
1524 **progress toward meeting them; (Core)**  
1525  
1526 **V.C.1.b).(3) guiding ongoing program improvement, including**  
1527 **development of new goals, based upon outcomes;**  
1528 **and, (Core)**  
1529  
1530 **V.C.1.b).(4) review of the current operating environment to identify**  
1531 **strengths, challenges, opportunities, and threats as**  
1532 **related to the program's mission and aims. (Core)**  
1533

**Background and Intent: In order to achieve its mission and train quality physicians, a program must evaluate its performance and plan for improvement in the Annual Program Evaluation. Performance of residents and faculty members is a reflection of program quality, and can use metrics that reflect the goals that a program has set for itself. The Program Evaluation Committee utilizes outcome parameters and other data to assess the program's progress toward achievement of its goals and aims.**

- 1534  
1535 **V.C.1.c) The Program Evaluation Committee should consider the**  
1536 **following elements in its assessment of the program:**  
1537  
1538 **V.C.1.c).(1) curriculum; (Core)**  
1539  
1540 **V.C.1.c).(2) outcomes from prior Annual Program Evaluation(s);**  
1541 **(Core)**  
1542  
1543 **V.C.1.c).(3) ACGME letters of notification, including citations,**  
1544 **Areas for Improvement, and comments; (Core)**  
1545  
1546 **V.C.1.c).(4) quality and safety of patient care; (Core)**  
1547

1548	<b>V.C.1.c).(5)</b>	<b>aggregate resident and faculty:</b>
1549		
1550	<b>V.C.1.c).(5).(a)</b>	<b>well-being;</b> <sup>(Core)</sup>
1551		
1552	<b>V.C.1.c).(5).(b)</b>	<b>recruitment and retention;</b> <sup>(Core)</sup>
1553		
1554	<b>V.C.1.c).(5).(c)</b>	<b>workforce diversity;</b> <sup>(Core)</sup>
1555		
1556	<b>V.C.1.c).(5).(d)</b>	<b>engagement in quality improvement and patient</b>
1557		<b>safety;</b> <sup>(Core)</sup>
1558		
1559	<b>V.C.1.c).(5).(e)</b>	<b>scholarly activity;</b> <sup>(Core)</sup>
1560		
1561	<b>V.C.1.c).(5).(f)</b>	<b>ACGME Resident and Faculty Surveys; and,</b>
1562		<sup>(Core)</sup>
1563		
1564	<b>V.C.1.c).(5).(g)</b>	<b>written evaluations of the program.</b> <sup>(Core)</sup>
1565		
1566	<b>V.C.1.c).(6)</b>	<b>aggregate resident:</b>
1567		
1568	<b>V.C.1.c).(6).(a)</b>	<b>achievement of the Milestones;</b> <sup>(Core)</sup>
1569		
1570	<b>V.C.1.c).(6).(b)</b>	<b>in-training examinations (where applicable);</b>
1571		<sup>(Core)</sup>
1572		
1573	<b>V.C.1.c).(6).(c)</b>	<b>board pass and certification rates; and,</b> <sup>(Core)</sup>
1574		
1575	<b>V.C.1.c).(6).(d)</b>	<b>graduate performance.</b> <sup>(Core)</sup>
1576		
1577	<b>V.C.1.c).(7)</b>	<b>aggregate faculty:</b>
1578		
1579	<b>V.C.1.c).(7).(a)</b>	<b>evaluation; and,</b> <sup>(Core)</sup>
1580		
1581	<b>V.C.1.c).(7).(b)</b>	<b>professional development.</b> <sup>(Core)</sup>
1582		
1583	<b>V.C.1.d)</b>	<b>The Program Evaluation Committee must evaluate the</b>
1584		<b>program’s mission and aims, strengths, areas for</b>
1585		<b>improvement, and threats.</b> <sup>(Core)</sup>
1586		
1587	<b>V.C.1.e)</b>	<b>The annual review, including the action plan, must:</b>
1588		
1589	<b>V.C.1.e).(1)</b>	<b>be distributed to and discussed with the members of</b>
1590		<b>the teaching faculty and the residents; and,</b> <sup>(Core)</sup>
1591		
1592	<b>V.C.1.e).(2)</b>	<b>be submitted to the DIO.</b> <sup>(Core)</sup>
1593		
1594	<b>V.C.2.</b>	<b>The program must complete a Self-Study prior to its 10-Year</b>
1595		<b>Accreditation Site Visit.</b> <sup>(Core)</sup>
1596		
1597	<b>V.C.2.a)</b>	<b>A summary of the Self-Study must be submitted to the DIO.</b>
1598		<sup>(Core)</sup>

**Background and Intent: Outcomes of the documented Annual Program Evaluation can be integrated into the 10-year Self-Study process. The Self-Study is an objective, comprehensive evaluation of the residency program, with the aim of improving it. Underlying the Self-Study is this longitudinal evaluation of the program and its learning environment, facilitated through sequential Annual Program Evaluations that focus on the required components, with an emphasis on program strengths and self-identified areas for improvement. Details regarding the timing and expectations for the Self-Study and the 10-Year Accreditation Site Visit are provided in the *ACGME Manual of Policies and Procedures*. Additionally, a description of the [Self-Study process](#), as well as information on how to prepare for the [10-Year Accreditation Site Visit](#), is available on the ACGME website.**

- 1600  
1601 **V.C.3.** *One goal of ACGME-accredited education is to educate physicians*  
1602 *who seek and achieve board certification. One measure of the*  
1603 *effectiveness of the educational program is the ultimate pass rate.*  
1604  
1605 *The program director should encourage all eligible program*  
1606 *graduates to take the certifying examination offered by the*  
1607 *applicable American Board of Medical Specialties (ABMS) member*  
1608 *board or American Osteopathic Association (AOA) certifying board.*  
1609  
1610 **V.C.3.a)** For specialties in which the ABMS member board and/or AOA  
1611 certifying board offer(s) an annual written exam, in the  
1612 preceding three years, the program's aggregate pass rate of  
1613 those taking the examination for the first time must be higher  
1614 than the bottom fifth percentile of programs in that specialty.  
1615 (Outcome)  
1616  
1617 **V.C.3.b)** For specialties in which the ABMS member board and/or AOA  
1618 certifying board offer(s) a biennial written exam, in the  
1619 preceding six years, the program's aggregate pass rate of  
1620 those taking the examination for the first time must be higher  
1621 than the bottom fifth percentile of programs in that specialty.  
1622 (Outcome)  
1623  
1624 **V.C.3.c)** For specialties in which the ABMS member board and/or AOA  
1625 certifying board offer(s) an annual oral exam, in the preceding  
1626 three years, the program's aggregate pass rate of those  
1627 taking the examination for the first time must be higher than  
1628 the bottom fifth percentile of programs in that specialty.  
1629 (Outcome)  
1630  
1631 **V.C.3.d)** For specialties in which the ABMS member board and/or AOA  
1632 certifying board offer(s) a biennial oral exam, in the preceding  
1633 six years, the program's aggregate pass rate of those taking  
1634 the examination for the first time must be higher than the  
1635 bottom fifth percentile of programs in that specialty. (Outcome)  
1636  
1637 **V.C.3.e)** For each of the exams referenced in V.C.3.a)-d), any program  
1638 whose graduates over the time period specified in the

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1640  
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requirement have achieved an 80 percent pass rate will have met this requirement, no matter the percentile rank of the program for pass rate in that specialty. <sup>(Outcome)</sup>

**Background and Intent: Setting a single standard for pass rate that works across specialties is not supportable based on the heterogeneity of the psychometrics of different examinations. By using a percentile rank, the performance of the lower five percent (fifth percentile) of programs can be identified and set on a path to curricular and test preparation reform.**

**There are specialties where there is a very high board pass rate that could leave successful programs in the bottom five percent (fifth percentile) despite admirable performance. These high-performing programs should not be cited, and V.C.3.e) is designed to address this.**

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1644  
1645  
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1647

**V.C.3.f) Programs must report, in ADS, board certification status annually for the cohort of board-eligible residents that graduated seven years earlier. <sup>(Core)</sup>**

**Background and Intent: It is essential that residency programs demonstrate knowledge and skill transfer to their residents. One measure of that is the qualifying or initial certification exam pass rate. Another important parameter of the success of the program is the ultimate board certification rate of its graduates. Graduates are eligible for up to seven years from residency graduation for initial certification. The ACGME will calculate a rolling three-year average of the ultimate board certification rate at seven years post-graduation, and the Review Committees will monitor it.**

**The Review Committees will track the rolling seven-year certification rate as an indicator of program quality. Programs are encouraged to monitor their graduates' performance on board certification examinations.**

**In the future, the ACGME may establish parameters related to ultimate board certification rates.**

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## **VI. The Learning and Working Environment**

***Residency education must occur in the context of a learning and working environment that emphasizes the following principles:***

- ***Excellence in the safety and quality of care rendered to patients by residents today***
- ***Excellence in the safety and quality of care rendered to patients by today's residents in their future practice***
- ***Excellence in professionalism through faculty modeling of:***
  - ***the effacement of self-interest in a humanistic environment that supports the professional development of physicians***

- 1665 ○ *the joy of curiosity, problem-solving, intellectual rigor, and discovery*
- 1666
- 1667 • *Commitment to the well-being of the students, residents, faculty members, and*
- 1668 *all members of the health care team*
- 1669

**Background and Intent:** The revised requirements are intended to provide greater flexibility within an established framework, allowing programs and residents more discretion to structure clinical education in a way that best supports the above principles of professional development. With this increased flexibility comes the responsibility for programs and residents to adhere to the 80-hour maximum weekly limit (unless a rotation-specific exception is granted by a Review Committee), and to utilize flexibility in a manner that optimizes patient safety, resident education, and resident well-being. The requirements are intended to support the development of a sense of professionalism by encouraging residents to make decisions based on patient needs and their own well-being, without fear of jeopardizing their program's accreditation status. In addition, the proposed requirements eliminate the burdensome documentation requirement for residents to justify clinical and educational work hour variations.

Clinical and educational work hours represent only one part of the larger issue of conditions of the learning and working environment, and Section VI has now been expanded to include greater attention to patient safety and resident and faculty member well-being. The requirements are intended to support programs and residents as they strive for excellence, while also ensuring ethical, humanistic training. Ensuring that flexibility is used in an appropriate manner is a shared responsibility of the program and residents. With this flexibility comes a responsibility for residents and faculty members to recognize the need to hand off care of a patient to another provider when a resident is too fatigued to provide safe, high quality care and for programs to ensure that residents remain within the 80-hour maximum weekly limit.

1670  
1671 **VI.A. Patient Safety, Quality Improvement, Supervision, and Accountability**

1672  
1673 **VI.A.1. Patient Safety and Quality Improvement**

1674  
1675 *All physicians share responsibility for promoting patient safety and*  
1676 *enhancing quality of patient care. Graduate medical education must*  
1677 *prepare residents to provide the highest level of clinical care with*  
1678 *continuous focus on the safety, individual needs, and humanity of*  
1679 *their patients. It is the right of each patient to be cared for by*  
1680 *residents who are appropriately supervised; possess the requisite*  
1681 *knowledge, skills, and abilities; understand the limits of their*  
1682 *knowledge and experience; and seek assistance as required to*  
1683 *provide optimal patient care.*

1684  
1685 *Residents must demonstrate the ability to analyze the care they*  
1686 *provide, understand their roles within health care teams, and play an*  
1687 *active role in system improvement processes. Graduating residents*  
1688 *will apply these skills to critique their future unsupervised practice*  
1689 *and effect quality improvement measures.*

1690

1691 *It is necessary for residents and faculty members to consistently*  
1692 *work in a well-coordinated manner with other health care*  
1693 *professionals to achieve organizational patient safety goals.*

1694  
1695 **VI.A.1.a) Patient Safety**

1696  
1697 **VI.A.1.a).(1) Culture of Safety**

1698  
1699 *A culture of safety requires continuous identification*  
1700 *of vulnerabilities and a willingness to transparently*  
1701 *deal with them. An effective organization has formal*  
1702 *mechanisms to assess the knowledge, skills, and*  
1703 *attitudes of its personnel toward safety in order to*  
1704 *identify areas for improvement.*

1705  
1706 **VI.A.1.a).(1).(a)** The program, its faculty, residents, and fellows  
1707 must actively participate in patient safety  
1708 systems and contribute to a culture of safety.  
1709 (Core)

1710  
1711 **VI.A.1.a).(1).(b)** The program must have a structure that  
1712 promotes safe, interprofessional, team-based  
1713 care. (Core)

1714  
1715 **VI.A.1.a).(2) Education on Patient Safety**

1716  
1717 Programs must provide formal educational activities  
1718 that promote patient safety-related goals, tools, and  
1719 techniques. (Core)

1720  
**Background and Intent: Optimal patient safety occurs in the setting of a coordinated interprofessional learning and working environment.**

1721  
1722 **VI.A.1.a).(3) Patient Safety Events**

1723  
1724 *Reporting, investigation, and follow-up of adverse*  
1725 *events, near misses, and unsafe conditions are pivotal*  
1726 *mechanisms for improving patient safety, and are*  
1727 *essential for the success of any patient safety*  
1728 *program. Feedback and experiential learning are*  
1729 *essential to developing true competence in the ability*  
1730 *to identify causes and institute sustainable systems-*  
1731 *based changes to ameliorate patient safety*  
1732 *vulnerabilities.*

1733  
1734 **VI.A.1.a).(3).(a)** Residents, fellows, faculty members, and other  
1735 clinical staff members must:

1736  
1737 **VI.A.1.a).(3).(a).(i)** know their responsibilities in reporting  
1738 patient safety events at the clinical site;  
1739 (Core)

1740		
1741	VI.A.1.a).(3).(a).(ii)	know how to report patient safety events, including near misses, at the clinical site; and, <sup>(Core)</sup>
1742		
1743		
1744		
1745	VI.A.1.a).(3).(a).(iii)	be provided with summary information of their institution's patient safety reports. <sup>(Core)</sup>
1746		
1747		
1748		
1749	VI.A.1.a).(3).(b)	Residents must participate as team members in real and/or simulated interprofessional clinical patient safety activities, such as root cause analyses or other activities that include analysis, as well as formulation and implementation of actions. <sup>(Core)</sup>
1750		
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1756	VI.A.1.a).(4)	<b>Resident Education and Experience in Disclosure of Adverse Events</b>
1757		
1758		
1759		<i>Patient-centered care requires patients, and when appropriate families, to be apprised of clinical situations that affect them, including adverse events. This is an important skill for faculty physicians to model, and for residents to develop and apply.</i>
1760		
1761		
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1765	VI.A.1.a).(4).(a)	All residents must receive training in how to disclose adverse events to patients and families. <sup>(Core)</sup>
1766		
1767		
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1769	VI.A.1.a).(4).(b)	Residents should have the opportunity to participate in the disclosure of patient safety events, real or simulated. <sup>(Detail)</sup>
1770		
1771		
1772		
1773	VI.A.1.b)	<b>Quality Improvement</b>
1774		
1775	VI.A.1.b).(1)	<b>Education in Quality Improvement</b>
1776		
1777		<i>A cohesive model of health care includes quality-related goals, tools, and techniques that are necessary in order for health care professionals to achieve quality improvement goals.</i>
1778		
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1781		
1782	VI.A.1.b).(1).(a)	Residents must receive training and experience in quality improvement processes, including an understanding of health care disparities. <sup>(Core)</sup>
1783		
1784		
1785		
1786	VI.A.1.b).(2)	<b>Quality Metrics</b>
1787		
1788		<i>Access to data is essential to prioritizing activities for care improvement and evaluating success of improvement efforts.</i>
1789		
1790		

1791		
1792	VI.A.1.b).(2).(a)	Residents and faculty members must receive data on quality metrics and benchmarks related to their patient populations. <sup>(Core)</sup>
1793		
1794		
1795		
1796	VI.A.1.b).(3)	Engagement in Quality Improvement Activities
1797		
1798		<i>Experiential learning is essential to developing the ability to identify and institute sustainable systems-based changes to improve patient care.</i>
1799		
1800		
1801		
1802	VI.A.1.b).(3).(a)	Residents must have the opportunity to participate in interprofessional quality improvement activities. <sup>(Core)</sup>
1803		
1804		
1805		
1806	VI.A.1.b).(3).(a).(i)	This should include activities aimed at reducing health care disparities. <sup>(Detail)</sup>
1807		
1808		
1809	VI.A.2.	Supervision and Accountability
1810		
1811	VI.A.2.a)	<i>Although the attending physician is ultimately responsible for the care of the patient, every physician shares in the responsibility and accountability for their efforts in the provision of care. Effective programs, in partnership with their Sponsoring Institutions, define, widely communicate, and monitor a structured chain of responsibility and accountability as it relates to the supervision of all patient care.</i>
1812		
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1820		<i>Supervision in the setting of graduate medical education provides safe and effective care to patients; ensures each resident's development of the skills, knowledge, and attitudes required to enter the unsupervised practice of medicine; and establishes a foundation for continued professional growth.</i>
1821		
1822		
1823		
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1825		
1826	VI.A.2.a).(1)	Each patient must have an identifiable and appropriately-credentialed and privileged attending physician (or licensed independent practitioner as specified by the applicable Review Committee) who is responsible and accountable for the patient's care. <sup>(Core)</sup>
1827		
1828		
1829		
1830		
1831		
1832		
1833	VI.A.2.a).(1).(a)	This information must be available to residents, faculty members, other members of the health care team, and patients. <sup>(Core)</sup>
1834		
1835		
1836		
1837	VI.A.2.a).(1).(b)	Residents and faculty members must inform each patient of their respective roles in that patient's care when providing direct patient care. <sup>(Core)</sup>
1838		
1839		
1840		
1841		



1842 VI.A.2.b) *Supervision may be exercised through a variety of methods.*  
 1843 *For many aspects of patient care, the supervising physician*  
 1844 *may be a more advanced resident or fellow. Other portions of*  
 1845 *care provided by the resident can be adequately supervised*  
 1846 *by the appropriate availability of the supervising faculty*  
 1847 *member, fellow, or senior resident physician, either on site or*  
 1848 *by means of telecommunication technology. Some activities*  
 1849 *require the physical presence of the supervising faculty*  
 1850 *member. In some circumstances, supervision may include*  
 1851 *post-hoc review of resident-delivered care with feedback.*  
 1852

**Background and Intent:** There are circumstances where direct supervision without physical presence does not fulfill the requirements of the specific Review Committee. Review Committees will further specify what is meant by direct supervision without physical presence in specialties where allowed. "Physically present" is defined as follows: The teaching physician is located in the same room (or partitioned or curtained area, if the room is subdivided to accommodate multiple patients) as the patient and/or performs a face-to-face service.

1853  
 1854 VI.A.2.b).(1) The program must demonstrate that the appropriate  
 1855 level of supervision in place for all residents is based  
 1856 on each resident's level of training and ability, as well  
 1857 as patient complexity and acuity. Supervision may be  
 1858 exercised through a variety of methods, as appropriate  
 1859 to the situation. <sup>(Core)</sup>  
 1860  
 1861 VI.A.2.b).(2) The program must define when physical presence of a  
 1862 supervising physician is required. <sup>(Core)</sup>  
 1863  
 1864 VI.A.2.c) Levels of Supervision  
 1865  
 1866 To promote appropriate resident supervision while providing  
 1867 for graded authority and responsibility, the program must use  
 1868 the following classification of supervision: <sup>(Core)</sup>  
 1869  
 1870 VI.A.2.c).(1) Direct Supervision:  
 1871  
 1872 VI.A.2.c).(1).(a) the supervising physician is physically present  
 1873 with the resident during the key portions of the  
 1874 patient interaction. <sup>(Core)</sup>  
 1875  
 1876 VI.A.2.c).(1).(a).(i) PGY-1 residents must initially be  
 1877 supervised directly, only as described in  
 1878 VI.A.2.c).(1).(a). <sup>(Core)</sup>  
 1879  
 1880 VI.A.2.c).(2) Indirect Supervision: the supervising physician is not  
 1881 providing physical or concurrent visual or audio  
 1882 supervision but is immediately available to the  
 1883 resident for guidance and is available to provide  
 1884 appropriate direct supervision. <sup>(Core)</sup>  
 1885

- 1886 VI.A.2.c).(3) Oversight – the supervising physician is available to  
 1887 provide review of procedures/encounters with  
 1888 feedback provided after care is delivered. <sup>(Core)</sup>  
 1889
- 1890 VI.A.2.d) The privilege of progressive authority and responsibility,  
 1891 conditional independence, and a supervisory role in patient  
 1892 care delegated to each resident must be assigned by the  
 1893 program director and faculty members. <sup>(Core)</sup>  
 1894
- 1895 VI.A.2.d).(1) The program director must evaluate each resident’s  
 1896 abilities based on specific criteria, guided by the  
 1897 Milestones. <sup>(Core)</sup>  
 1898
- 1899 VI.A.2.d).(2) Faculty members functioning as supervising  
 1900 physicians must delegate portions of care to residents  
 1901 based on the needs of the patient and the skills of  
 1902 each resident. <sup>(Core)</sup>  
 1903
- 1904 VI.A.2.d).(3) Senior residents or fellows should serve in a  
 1905 supervisory role to junior residents in recognition of  
 1906 their progress toward independence, based on the  
 1907 needs of each patient and the skills of the individual  
 1908 resident or fellow. <sup>(Detail)</sup>  
 1909
- 1910 VI.A.2.e) Programs must set guidelines for circumstances and events  
 1911 in which residents must communicate with the supervising  
 1912 faculty member(s). <sup>(Core)</sup>  
 1913
- 1914 VI.A.2.e).(1) Each resident must know the limits of their scope of  
 1915 authority, and the circumstances under which the  
 1916 resident is permitted to act with conditional  
 1917 independence. <sup>(Outcome)</sup>  
 1918

**Background and Intent: The ACGME Glossary of Terms defines conditional independence as: Graded, progressive responsibility for patient care with defined oversight.**

- 1919
- 1920 VI.A.2.f) Faculty supervision assignments must be of sufficient  
 1921 duration to assess the knowledge and skills of each resident  
 1922 and to delegate to the resident the appropriate level of patient  
 1923 care authority and responsibility. <sup>(Core)</sup>  
 1924
- 1925 VI.B. Professionalism
- 1926
- 1927 VI.B.1. Programs, in partnership with their Sponsoring Institutions, must  
 1928 educate residents and faculty members concerning the professional  
 1929 responsibilities of physicians, including their obligation to be  
 1930 appropriately rested and fit to provide the care required by their  
 1931 patients. <sup>(Core)</sup>  
 1932
- 1933 VI.B.2. The learning objectives of the program must:

- 1934  
 1935 VI.B.2.a) be accomplished through an appropriate blend of supervised  
 1936 patient care responsibilities, clinical teaching, and didactic  
 1937 educational events; <sup>(Core)</sup>  
 1938  
 1939 VI.B.2.b) be accomplished without excessive reliance on residents to  
 1940 fulfill non-physician obligations; and, <sup>(Core)</sup>  
 1941

**Background and Intent:** Routine reliance on residents to fulfill non-physician obligations increases work compression for residents and does not provide an optimal educational experience. Non-physician obligations are those duties which in most institutions are performed by nursing and allied health professionals, transport services, or clerical staff. Examples of such obligations include transport of patients from the wards or units for procedures elsewhere in the hospital; routine blood drawing for laboratory tests; routine monitoring of patients when off the ward; and clerical duties, such as scheduling. While it is understood that residents may be expected to do any of these things on occasion when the need arises, these activities should not be performed by residents routinely and must be kept to a minimum to optimize resident education.

- 1942  
 1943 VI.B.2.c) ensure manageable patient care responsibilities. <sup>(Core)</sup>  
 1944

**Background and Intent:** The Common Program Requirements do not define “manageable patient care responsibilities” as this is variable by specialty and PGY level. Review Committees will provide further detail regarding patient care responsibilities in the applicable specialty-specific Program Requirements and accompanying FAQs. However, all programs, regardless of specialty, should carefully assess how the assignment of patient care responsibilities can affect work compression, especially at the PGY-1 level.

- 1945  
 1946 VI.B.3. The program director, in partnership with the Sponsoring Institution,  
 1947 must provide a culture of professionalism that supports patient  
 1948 safety and personal responsibility. <sup>(Core)</sup>  
 1949  
 1950 VI.B.4. Residents and faculty members must demonstrate an understanding  
 1951 of their personal role in the:  
 1952  
 1953 VI.B.4.a) provision of patient- and family-centered care; <sup>(Outcome)</sup>  
 1954  
 1955 VI.B.4.b) safety and welfare of patients entrusted to their care,  
 1956 including the ability to report unsafe conditions and adverse  
 1957 events; <sup>(Outcome)</sup>  
 1958

**Background and Intent:** This requirement emphasizes that responsibility for reporting unsafe conditions and adverse events is shared by all members of the team and is not solely the responsibility of the resident.

- 1959  
 1960 VI.B.4.c) assurance of their fitness for work, including: <sup>(Outcome)</sup>  
 1961

**Background and Intent:** This requirement emphasizes the professional responsibility of faculty members and residents to arrive for work adequately rested and ready to care

for patients. It is also the responsibility of faculty members, residents, and other members of the care team to be observant, to intervene, and/or to escalate their concern about resident and faculty member fitness for work, depending on the situation, and in accordance with institutional policies.

1962		
1963	VI.B.4.c).(1)	management of their time before, during, and after clinical assignments; and, (Outcome)
1964		
1965		
1966	VI.B.4.c).(2)	recognition of impairment, including from illness, fatigue, and substance use, in themselves, their peers, and other members of the health care team. (Outcome)
1967		
1968		
1969		
1970	VI.B.4.d)	commitment to lifelong learning; (Outcome)
1971		
1972	VI.B.4.e)	monitoring of their patient care performance improvement indicators; and, (Outcome)
1973		
1974		
1975	VI.B.4.f)	accurate reporting of clinical and educational work hours, patient outcomes, and clinical experience data. (Outcome)
1976		
1977		
1978	VI.B.5.	All residents and faculty members must demonstrate responsiveness to patient needs that supersedes self-interest. This includes the recognition that under certain circumstances, the best interests of the patient may be served by transitioning that patient's care to another qualified and rested provider. (Outcome)
1979		
1980		
1981		
1982		
1983		
1984	VI.B.6.	Programs, in partnership with their Sponsoring Institutions, must provide a professional, equitable, respectful, and civil environment that is free from discrimination, sexual and other forms of harassment, mistreatment, abuse, or coercion of students, residents, faculty, and staff. (Core)
1985		
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1990	VI.B.7.	Programs, in partnership with their Sponsoring Institutions, should have a process for education of residents and faculty regarding unprofessional behavior and a confidential process for reporting, investigating, and addressing such concerns. (Core)
1991		
1992		
1993		
1994		
1995	VI.C.	Well-Being
1996		
1997		<i>Psychological, emotional, and physical well-being are critical in the development of the competent, caring, and resilient physician and require proactive attention to life inside and outside of medicine. Well-being requires that physicians retain the joy in medicine while managing their own real-life stresses. Self-care and responsibility to support other members of the health care team are important components of professionalism; they are also skills that must be modeled, learned, and nurtured in the context of other aspects of residency training.</i>
1998		
1999		
2000		
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2002		
2003		
2004		
2005		
2006		<i>Residents and faculty members are at risk for burnout and depression. Programs, in partnership with their Sponsoring Institutions, have the same responsibility to address well-being as other aspects of resident</i>
2007		
2008		

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2010  
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2016

*competence. Physicians and all members of the health care team share responsibility for the well-being of each other. For example, a culture which encourages covering for colleagues after an illness without the expectation of reciprocity reflects the ideal of professionalism. A positive culture in a clinical learning environment models constructive behaviors, and prepares residents with the skills and attitudes needed to thrive throughout their careers.*

**Background and Intent:** The ACGME is committed to addressing physician well-being for individuals and as it relates to the learning and working environment. The creation of a learning and working environment with a culture of respect and accountability for physician well-being is crucial to physicians' ability to deliver the safest, best possible care to patients. The ACGME is leveraging its resources in four key areas to support the ongoing focus on physician well-being: education, influence, research, and collaboration. Information regarding the ACGME's ongoing efforts in this area is available on the ACGME website.

As these efforts evolve, information will be shared with programs seeking to develop and/or strengthen their own well-being initiatives. In addition, there are many activities that programs can utilize now to assess and support physician well-being. These include culture of safety surveys, ensuring the availability of counseling services, and attention to the safety of the entire health care team.

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- VI.C.1.** The responsibility of the program, in partnership with the Sponsoring Institution, to address well-being must include:
- VI.C.1.a)** efforts to enhance the meaning that each resident finds in the experience of being a physician, including protecting time with patients, minimizing non-physician obligations, providing administrative support, promoting progressive autonomy and flexibility, and enhancing professional relationships; <sup>(Core)</sup>
- VI.C.1.b)** attention to scheduling, work intensity, and work compression that impacts resident well-being; <sup>(Core)</sup>
- VI.C.1.c)** evaluating workplace safety data and addressing the safety of residents and faculty members; <sup>(Core)</sup>

**Background and Intent:** This requirement emphasizes the responsibility shared by the Sponsoring Institution and its programs to gather information and utilize systems that monitor and enhance resident and faculty member safety, including physical safety. Issues to be addressed include, but are not limited to, monitoring of workplace injuries, physical or emotional violence, vehicle collisions, and emotional well-being after adverse events.

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2037

- VI.C.1.d)** policies and programs that encourage optimal resident and faculty member well-being; and, <sup>(Core)</sup>

**Background and Intent: Well-being includes having time away from work to engage with family and friends, as well as to attend to personal needs and to one's own health, including adequate rest, healthy diet, and regular exercise.**

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**VI.C.1.d).(1)**

**Residents must be given the opportunity to attend medical, mental health, and dental care appointments, including those scheduled during their working hours.**  
(Core)

**Background and Intent: The intent of this requirement is to ensure that residents have the opportunity to access medical and dental care, including mental health care, at times that are appropriate to their individual circumstances. Residents must be provided with time away from the program as needed to access care, including appointments scheduled during their working hours.**

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**VI.C.1.e)**

**attention to resident and faculty member burnout, depression, and substance abuse. The program, in partnership with its Sponsoring Institution, must educate faculty members and residents in identification of the symptoms of burnout, depression, and substance abuse, including means to assist those who experience these conditions. Residents and faculty members must also be educated to recognize those symptoms in themselves and how to seek appropriate care. The program, in partnership with its Sponsoring Institution, must:** (Core)

**Background and Intent: Programs and Sponsoring Institutions are encouraged to review materials in order to create systems for identification of burnout, depression, and substance abuse. Materials and more information are available on the Physician Well-being section of the ACGME website (<http://www.acgme.org/What-We-Do/Initiatives/Physician-Well-Being>).**

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**VI.C.1.e).(1)**

**encourage residents and faculty members to alert the program director or other designated personnel or programs when they are concerned that another resident, fellow, or faculty member may be displaying signs of burnout, depression, substance abuse, suicidal ideation, or potential for violence;** (Core)

**Background and Intent: Individuals experiencing burnout, depression, substance abuse, and/or suicidal ideation are often reluctant to reach out for help due to the stigma associated with these conditions, and are concerned that seeking help may have a negative impact on their career. Recognizing that physicians are at increased risk in these areas, it is essential that residents and faculty members are able to report their concerns when another resident or faculty member displays signs of any of these conditions, so that the program director or other designated personnel, such as the department chair, may assess the situation and intervene as necessary to facilitate access to appropriate care. Residents and faculty members must know which personnel, in addition to the program director, have been designated with this responsibility; those personnel and the program director should be familiar with the**

institution's impaired physician policy and any employee health, employee assistance, and/or wellness programs within the institution. In cases of physician impairment, the program director or designated personnel should follow the policies of their institution for reporting.

- 2064  
2065 VI.C.1.e).(2) provide access to appropriate tools for self-screening;  
2066 and, (Core)  
2067  
2068 VI.C.1.e).(3) provide access to confidential, affordable mental  
2069 health assessment, counseling, and treatment,  
2070 including access to urgent and emergent care 24  
2071 hours a day, seven days a week. (Core)  
2072

**Background and Intent:** The intent of this requirement is to ensure that residents have immediate access at all times to a mental health professional (psychiatrist, psychologist, Licensed Clinical Social Worker, Primary Mental Health Nurse Practitioner, or Licensed Professional Counselor) for urgent or emergent mental health issues. In-person, telemedicine, or telephonic means may be utilized to satisfy this requirement. Care in the Emergency Department may be necessary in some cases, but not as the primary or sole means to meet the requirement.

The reference to affordable counseling is intended to require that financial cost not be a barrier to obtaining care.

- 2073  
2074 VI.C.2. There are circumstances in which residents may be unable to attend  
2075 work, including but not limited to fatigue, illness, family  
2076 emergencies, and parental leave. Each program must allow an  
2077 appropriate length of absence for residents unable to perform their  
2078 patient care responsibilities. (Core)  
2079  
2080 VI.C.2.a) The program must have policies and procedures in place to  
2081 ensure coverage of patient care. (Core)  
2082  
2083 VI.C.2.b) These policies must be implemented without fear of negative  
2084 consequences for the resident who is or was unable to  
2085 provide the clinical work. (Core)  
2086

**Background and Intent:** Residents may need to extend their length of training depending on length of absence and specialty board eligibility requirements. Teammates should assist colleagues in need and equitably reintegrate them upon return.

- 2087  
2088 VI.D. Fatigue Mitigation  
2089  
2090 VI.D.1. Programs must:  
2091  
2092 VI.D.1.a) educate all faculty members and residents to recognize the  
2093 signs of fatigue and sleep deprivation; (Core)  
2094

2095 VI.D.1.b) educate all faculty members and residents in alertness  
2096 management and fatigue mitigation processes; and, <sup>(Core)</sup>

2097  
2098 VI.D.1.c) encourage residents to use fatigue mitigation processes to  
2099 manage the potential negative effects of fatigue on patient  
2100 care and learning. <sup>(Detail)</sup>

2101

**Background and Intent: Providing medical care to patients is physically and mentally demanding. Night shifts, even for those who have had enough rest, cause fatigue. Experiencing fatigue in a supervised environment during training prepares residents for managing fatigue in practice. It is expected that programs adopt fatigue mitigation processes and ensure that there are no negative consequences and/or stigma for using fatigue mitigation strategies.**

**This requirement emphasizes the importance of adequate rest before and after clinical responsibilities. Strategies that may be used include, but are not limited to, strategic napping; the judicious use of caffeine; availability of other caregivers; time management to maximize sleep off-duty; learning to recognize the signs of fatigue, and self-monitoring performance and/or asking others to monitor performance; remaining active to promote alertness; maintaining a healthy diet; using relaxation techniques to fall asleep; maintaining a consistent sleep routine; exercising regularly; increasing sleep time before and after call; and ensuring sufficient sleep recovery periods.**

2102  
2103 VI.D.2. Each program must ensure continuity of patient care, consistent  
2104 with the program's policies and procedures referenced in VI.C.2–  
2105 VI.C.2.b), in the event that a resident may be unable to perform their  
2106 patient care responsibilities due to excessive fatigue. <sup>(Core)</sup>

2107  
2108 VI.D.3. The program, in partnership with its Sponsoring Institution, must  
2109 ensure adequate sleep facilities and safe transportation options for  
2110 residents who may be too fatigued to safely return home. <sup>(Core)</sup>

2111  
2112 VI.E. Clinical Responsibilities, Teamwork, and Transitions of Care

2113  
2114 VI.E.1. Clinical Responsibilities

2115  
2116 The clinical responsibilities for each resident must be based on PGY  
2117 level, patient safety, resident ability, severity and complexity of  
2118 patient illness/condition, and available support services. <sup>(Core)</sup>

2119

**Background and Intent: The changing clinical care environment of medicine has meant that work compression due to high complexity has increased stress on residents. Faculty members and program directors need to make sure residents function in an environment that has safe patient care and a sense of resident well-being. Some Review Committees have addressed this by setting limits on patient admissions, and it is an essential responsibility of the program director to monitor resident workload. Workload should be distributed among the resident team and interdisciplinary teams to minimize work compression.**

2120

2121 VI.E.2. Teamwork



2122  
2123 Residents must care for patients in an environment that maximizes  
2124 communication. This must include the opportunity to work as a  
2125 member of effective interprofessional teams that are appropriate to  
2126 the delivery of care in the specialty and larger health system. <sup>(Core)</sup>  
2127

2128 **VI.E.3. Transitions of Care**

2129  
2130 **VI.E.3.a) Programs must design clinical assignments to optimize**  
2131 **transitions in patient care, including their safety, frequency,**  
2132 **and structure. <sup>(Core)</sup>**

2133  
2134 **VI.E.3.b) Programs, in partnership with their Sponsoring Institutions,**  
2135 **must ensure and monitor effective, structured hand-over**  
2136 **processes to facilitate both continuity of care and patient**  
2137 **safety. <sup>(Core)</sup>**

2138  
2139 **VI.E.3.c) Programs must ensure that residents are competent in**  
2140 **communicating with team members in the hand-over process.**  
2141 <sup>(Outcome)</sup>

2142  
2143 **VI.E.3.d) Programs and clinical sites must maintain and communicate**  
2144 **schedules of attending physicians and residents currently**  
2145 **responsible for care. <sup>(Core)</sup>**

2146  
2147 **VI.E.3.e) Each program must ensure continuity of patient care,**  
2148 **consistent with the program’s policies and procedures**  
2149 **referenced in VI.C.2-VI.C.2.b), in the event that a resident may**  
2150 **be unable to perform their patient care responsibilities due to**  
2151 **excessive fatigue or illness, or family emergency. <sup>(Core)</sup>**

2152  
2153 **VI.F. Clinical Experience and Education**

2154  
2155 *Programs, in partnership with their Sponsoring Institutions, must design*  
2156 *an effective program structure that is configured to provide residents with*  
2157 *educational and clinical experience opportunities, as well as reasonable*  
2158 *opportunities for rest and personal activities.*  
2159

**Background and Intent: In the new requirements, the terms “clinical experience and education,” “clinical and educational work,” and “clinical and educational work hours” replace the terms “duty hours,” “duty periods,” and “duty.” These changes have been made in response to concerns that the previous use of the term “duty” in reference to number of hours worked may have led some to conclude that residents’ duty to “clock out” on time superseded their duty to their patients.**

2160  
2161 **VI.F.1. Maximum Hours of Clinical and Educational Work per Week**

2162  
2163 **Clinical and educational work hours must be limited to no more than**  
2164 **80 hours per week, averaged over a four-week period, inclusive of all**  
2165 **in-house clinical and educational activities, clinical work done from**  
2166 **home, and all moonlighting. <sup>(Core)</sup>**

**Background and Intent:** Programs and residents have a shared responsibility to ensure that the 80-hour maximum weekly limit is not exceeded. While the requirement has been written with the intent of allowing residents to remain beyond their scheduled work periods to care for a patient or participate in an educational activity, these additional hours must be accounted for in the allocated 80 hours when averaged over four weeks.

### ***Scheduling***

While the ACGME acknowledges that, on rare occasions, a resident may work in excess of 80 hours in a given week, all programs and residents utilizing this flexibility will be required to adhere to the 80-hour maximum weekly limit when averaged over a four-week period. Programs that regularly schedule residents to work 80 hours per week and still permit residents to remain beyond their scheduled work period are likely to exceed the 80-hour maximum, which would not be in substantial compliance with the requirement. These programs should adjust schedules so that residents are scheduled to work fewer than 80 hours per week, which would allow residents to remain beyond their scheduled work period when needed without violating the 80-hour requirement. Programs may wish to consider using night float and/or making adjustments to the frequency of in-house call to ensure compliance with the 80-hour maximum weekly limit.

### ***Oversight***

With increased flexibility introduced into the Requirements, programs permitting this flexibility will need to account for the potential for residents to remain beyond their assigned work periods when developing schedules, to avoid exceeding the 80-hour maximum weekly limit, averaged over four weeks. The ACGME Review Committees will strictly monitor and enforce compliance with the 80-hour requirement. Where violations of the 80-hour requirement are identified, programs will be subject to citation and at risk for an adverse accreditation action.

### ***Work from Home***

While the requirement specifies that clinical work done from home must be counted toward the 80-hour maximum weekly limit, the expectation remains that scheduling be structured so that residents are able to complete most work on site during scheduled clinical work hours without requiring them to take work home. The new requirements acknowledge the changing landscape of medicine, including electronic health records, and the resulting increase in the amount of work residents choose to do from home. The requirement provides flexibility for residents to do this while ensuring that the time spent by residents completing clinical work from home is accomplished within the 80-hour weekly maximum. Types of work from home that must be counted include using an electronic health record and taking calls from home. Reading done in preparation for the following day's cases, studying, and research done from home do not count toward the 80 hours. Resident decisions to leave the hospital before their clinical work has been completed and to finish that work later from home should be made in consultation with the resident's supervisor. In such circumstances, residents should be mindful of their professional responsibility to complete work in a timely manner and to maintain patient confidentiality.

During the public comment period many individuals raised questions and concerns related to this change. Some questioned whether minute by minute tracking would be required; in other words, if a resident spends three minutes on a phone call and then a few hours later spends two minutes on another call, will the resident need to report that

time. Others raised concerns related to the ability of programs and institutions to verify the accuracy of the information reported by residents. The new requirements are not an attempt to micromanage this process. Residents are to track the time they spend on clinical work from home and to report that time to the program. Decisions regarding whether to report infrequent phone calls of very short duration will be left to the individual resident. Programs will need to factor in time residents are spending on clinical work at home when schedules are developed to ensure that residents are not working in excess of 80 hours per week, averaged over four weeks. There is no requirement that programs assume responsibility for documenting this time. Rather, the program's responsibility is ensuring that residents report their time from home and that schedules are structured to ensure that residents are not working in excess of 80 hours per week, averaged over four weeks.

***PGY-1 and PGY-2 Residents***

PGY-1 and PGY-2 residents may not have the experience to make decisions about when it is appropriate to utilize flexibility or may feel pressured to use it when unnecessary. Programs are responsible for ensuring that residents are provided with manageable workloads that can be accomplished during scheduled work hours. This includes ensuring that a resident's assigned direct patient load is manageable, that residents have appropriate support from their clinical teams, and that residents are not overburdened with clerical work and/or other non-physician duties.

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**VI.F.2. Mandatory Time Free of Clinical Work and Education**

**VI.F.2.a)** The program must design an effective program structure that is configured to provide residents with educational opportunities, as well as reasonable opportunities for rest and personal well-being. <sup>(Core)</sup>

**VI.F.2.b)** Residents should have eight hours off between scheduled clinical work and education periods. <sup>(Detail)</sup>

**VI.F.2.b).(1)** There may be circumstances when residents choose to stay to care for their patients or return to the hospital with fewer than eight hours free of clinical experience and education. This must occur within the context of the 80-hour and the one-day-off-in-seven requirements. <sup>(Detail)</sup>

**Background and Intent:** While it is expected that resident schedules will be structured to ensure that residents are provided with a minimum of eight hours off between scheduled work periods, it is recognized that residents may choose to remain beyond their scheduled time, or return to the clinical site during this time-off period, to care for a patient. The requirement preserves the flexibility for residents to make those choices. It is also noted that the 80-hour weekly limit (averaged over four weeks) is a deterrent for scheduling fewer than eight hours off between clinical and education work periods, as it would be difficult for a program to design a schedule that provides fewer than eight hours off without violating the 80-hour rule.

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2187 VI.F.2.c) Residents must have at least 14 hours free of clinical work  
2188 and education after 24 hours of in-house call. (Core)  
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**Background and Intent: Residents have a responsibility to return to work rested, and thus are expected to use this time away from work to get adequate rest. In support of this goal, residents are encouraged to prioritize sleep over other discretionary activities.**

2190 VI.F.2.d) Residents must be scheduled for a minimum of one day in  
2191 seven free of clinical work and required education (when  
2192 averaged over four weeks). At-home call cannot be assigned  
2193 on these free days. (Core)  
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**Background and Intent: The requirement provides flexibility for programs to distribute days off in a manner that meets program and resident needs. It is strongly recommended that residents' preference regarding how their days off are distributed be considered as schedules are developed. It is desirable that days off be distributed throughout the month, but some residents may prefer to group their days off to have a "golden weekend," meaning a consecutive Saturday and Sunday free from work. The requirement for one free day in seven should not be interpreted as precluding a golden weekend. Where feasible, schedules may be designed to provide residents with a weekend, or two consecutive days, free of work. The applicable Review Committee will evaluate the number of consecutive days of work and determine whether they meet educational objectives. Programs are encouraged to distribute days off in a fashion that optimizes resident well-being, and educational and personal goals. It is noted that a day off is defined in the ACGME Glossary of Terms as "one (1) continuous 24-hour period free from all administrative, clinical, and educational activities."**

2196 VI.F.3. Maximum Clinical Work and Education Period Length  
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2198 VI.F.3.a) Clinical and educational work periods for residents must not  
2199 exceed 24 hours of continuous scheduled clinical  
2200 assignments. (Core)  
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**Background and Intent: The Task Force examined the question of "consecutive time on task." It examined the research supporting the current limit of 16 consecutive hours of time on task for PGY-1 residents; the range of often conflicting impacts of this requirement on patient safety, clinical care, and continuity of care by resident teams; and resident learning found in the literature. Finally, it heard a uniform request by the specialty societies, certifying boards, membership societies and organizations, and senior residents to repeal this requirement. It heard conflicting perspectives from resident unions, a medical student association, and a number of public advocacy groups, some arguing for continuation of the requirement, others arguing for extension of the requirement to all residents.**

**Of greatest concern to the Task Force were the observations of disruption of team care and patient care continuity brought about with residents beyond the PGY-1 level adhering to differing requirements. The graduate medical education community uniformly requested that the Task Force remove this requirement. The most frequently-cited reason for this request was the complete disruption of the team, separating the PGY-1 from supervisory faculty members and residents who were best able to judge the**

ability of the resident and customize the supervision of patient care for each PGY-1. Cited nearly as frequently was the separation of the PGY-1 from the team, delaying maturation of clinical skills, and threatening to create a “shift” mentality in disciplines where overnight availability to patients is essential in delivery of care.

The Task Force examined the impact of the request to consider 16-consecutive-hour limits for all residents, and rejected the proposition. It found that model incompatible with the actual practice of medicine and surgery in many specialties, excessively limiting in configuration of clinical services in many disciplines, and potentially disruptive of the inculcation of responsibility and professional commitment to altruism and placing the needs of patients above those of the physician.

After careful consideration of the information available, the testimony and position of all parties submitting information, and presentations to the Task Force, the Task Force removed the 16-hour-consecutive-time-on-task requirement for PGY-1 residents. It remains crucial that programs ensure that PGY-1 residents are supervised in compliance with the applicable Program Requirements, and that resident well-being is prioritized as described in Section VI.C. of these requirements.

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**VI.F.3.a).(1)** Up to four hours of additional time may be used for activities related to patient safety, such as providing effective transitions of care, and/or resident education. (Core)

**VI.F.3.a).(1).(a)** Additional patient care responsibilities must not be assigned to a resident during this time. (Core)

**Background and Intent:** The additional time referenced in VI.F.3.a).(1) should not be used for the care of new patients. It is essential that the resident continue to function as a member of the team in an environment where other members of the team can assess resident fatigue, and that supervision for post-call residents is provided. This 24 hours and up to an additional four hours must occur within the context of 80-hour weekly limit, averaged over four weeks.

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**VI.F.4. Clinical and Educational Work Hour Exceptions**

**VI.F.4.a)** In rare circumstances, after handing off all other responsibilities, a resident, on their own initiative, may elect to remain or return to the clinical site in the following circumstances:

**VI.F.4.a).(1)** to continue to provide care to a single severely ill or unstable patient; (Detail)

**VI.F.4.a).(2)** humanistic attention to the needs of a patient or family; or, (Detail)

**VI.F.4.a).(3)** to attend unique educational events. (Detail)

2228 VI.F.4.b) These additional hours of care or education will be counted  
2229 toward the 80-hour weekly limit. <sup>(Detail)</sup>  
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**Background and Intent:** This requirement is intended to provide residents with some control over their schedules by providing the flexibility to voluntarily remain beyond the scheduled responsibilities under the circumstances described above. It is important to note that a resident may remain to attend a conference, or return for a conference later in the day, only if the decision is made voluntarily. Residents must not be required to stay. Programs allowing residents to remain or return beyond the scheduled work and clinical education period must ensure that the decision to remain is initiated by the resident and that residents are not coerced. This additional time must be counted toward the 80-hour maximum weekly limit.

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2232 VI.F.4.c) A Review Committee may grant rotation-specific exceptions  
2233 for up to 10 percent or a maximum of 88 clinical and  
2234 educational work hours to individual programs based on a  
2235 sound educational rationale.  
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2237 The Review Committee for Anesthesiology will not consider  
2238 requests for exceptions to the 80-hour limit to the residents' work  
2239 week.  
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2241 VI.F.4.c).(1) In preparing a request for an exception, the program  
2242 director must follow the clinical and educational work  
2243 hour exception policy from the *ACGME Manual of*  
2244 *Policies and Procedures.* <sup>(Core)</sup>  
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2246 VI.F.4.c).(2) Prior to submitting the request to the Review  
2247 Committee, the program director must obtain approval  
2248 from the Sponsoring Institution's GMEC and DIO. <sup>(Core)</sup>  
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**Background and Intent:** The provision for exceptions for up to 88 hours per week has been modified to specify that exceptions may be granted for specific rotations if the program can justify the increase based on criteria specified by the Review Committee. As in the past, Review Committees may opt not to permit exceptions. The underlying philosophy for this requirement is that while it is expected that all residents should be able to train within an 80-hour work week, it is recognized that some programs may include rotations with alternate structures based on the nature of the specialty. DIO/GMEC approval is required before the request will be considered by the Review Committee.

2250 VI.F.5. Moonlighting  
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2253 VI.F.5.a) Moonlighting must not interfere with the ability of the resident  
2254 to achieve the goals and objectives of the educational  
2255 program, and must not interfere with the resident's fitness for  
2256 work nor compromise patient safety. <sup>(Core)</sup>  
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- 2258 VI.F.5.b) Time spent by residents in internal and external moonlighting  
 2259 (as defined in the ACGME Glossary of Terms) must be  
 2260 counted toward the 80-hour maximum weekly limit. <sup>(Core)</sup>  
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 2262 VI.F.5.c) PGY-1 residents are not permitted to moonlight. <sup>(Core)</sup>  
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**Background and Intent:** For additional clarification of the expectations related to moonlighting, please refer to the Common Program Requirement FAQs (available at <http://www.acgme.org/What-We-Do/Accreditation/Common-Program-Requirements>).

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 2265 VI.F.6. In-House Night Float  
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 2267 Night float must occur within the context of the 80-hour and one-  
 2268 day-off-in-seven requirements. <sup>(Core)</sup>  
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**Background and Intent:** The requirement for no more than six consecutive nights of night float was removed to provide programs with increased flexibility in scheduling.

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 2271 VI.F.7. Maximum In-House On-Call Frequency  
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 2273 Residents must be scheduled for in-house call no more frequently  
 2274 than every third night (when averaged over a four-week period). <sup>(Core)</sup>  
 2275 VI.F.8. At-Home Call  
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- 2277 VI.F.8.a) Time spent on patient care activities by residents on at-home  
 2278 call must count toward the 80-hour maximum weekly limit.  
 2279 The frequency of at-home call is not subject to the every-  
 2280 third-night limitation, but must satisfy the requirement for one  
 2281 day in seven free of clinical work and education, when  
 2282 averaged over four weeks. <sup>(Core)</sup>  
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- 2284 VI.F.8.a).(1) At-home call must not be so frequent or taxing as to  
 2285 preclude rest or reasonable personal time for each  
 2286 resident. <sup>(Core)</sup>  
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- 2288 VI.F.8.b) Residents are permitted to return to the hospital while on at-  
 2289 home call to provide direct care for new or established  
 2290 patients. These hours of inpatient patient care must be  
 2291 included in the 80-hour maximum weekly limit. <sup>(Detail)</sup>  
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**Background and Intent:** This requirement has been modified to specify that clinical work done from home when a resident is taking at-home call must count toward the 80-hour maximum weekly limit. This change acknowledges the often significant amount of time residents devote to clinical activities when taking at-home call, and ensures that taking at-home call does not result in residents routinely working more than 80 hours per week. At-home call activities that must be counted include responding to phone calls and other forms of communication, as well as documentation, such as entering notes in an electronic health record. Activities such as reading about the next day's case, studying, or research activities do not count toward the 80-hour weekly limit.

In their evaluation of residency/fellowship programs, Review Committees will look at the overall impact of at-home call on resident/fellow rest and personal time.

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**\*Core Requirements:** Statements that define structure, resource, or process elements essential to every graduate medical educational program.

**†Detail Requirements:** Statements that describe a specific structure, resource, or process, for achieving compliance with a Core Requirement. Programs and sponsoring institutions in substantial compliance with the Outcome Requirements may utilize alternative or innovative approaches to meet Core Requirements.

**‡Outcome Requirements:** Statements that specify expected measurable or observable attributes (knowledge, abilities, skills, or attitudes) of residents or fellows at key stages of their graduate medical education.

### **Osteopathic Recognition**

For programs with or applying for Osteopathic Recognition, the Osteopathic Recognition Requirements also apply ([www.acgme.org/OsteopathicRecognition](http://www.acgme.org/OsteopathicRecognition)).